

# Using seclusion to prevent COVID-19 transmission on inpatient psychiatry units

## Ethical factors guide seclusion of patients who test positive for COVID-19 or refuse testing

**M**r. T, age 26, presents to the psychiatric emergency department with acutely worsening symptoms of schizophrenia. The treating team decides to admit him to the inpatient psychiatry unit. The patient agrees to admission bloodwork, but adamantly refuses a coronavirus disease 2019 (COVID-19) nasal swab, stating that he does not consent to “having COVID-19 injected into his nose.” His nurse pages the psychiatry resident on call, asking her for seclusion orders to be placed for the patient in order to quarantine him.

This case illustrates a quandary that has arisen during the COVID-19 era. Traditionally, the use of seclusion in inpatient psychiatry wards has been restricted to the management of violent or self-destructive behavior. Most guidelines advise that seclusion should be used only to ensure the immediate physical safety of a patient, staff members, or other patients.<sup>1</sup> Using seclusion for other purposes, such as to quarantine patients suspected of having an infectious disease, raises ethical questions.

### What is seclusion?

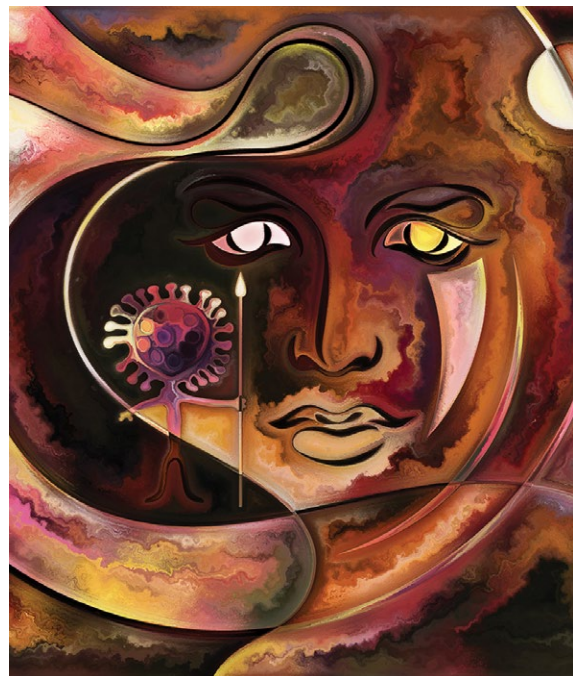
To best understand the questions that arise from the above scenario, a thorough understanding of the terminology used is needed. Although the terms “isolation,” “quarantine,” and “seclusion” are often used interchangeably, each has a distinct definition and unique history.

continued

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## Inpatient seclusion during COVID-19

### Clinical Point

Seclusion comes with potentially harmful effects, including cutting off social contact



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**Isolation** in a medical context refers to the practice of isolating people confirmed to have a disease from the general population. The earliest description of medical isolation dates back to the 7th century BC in the Book of Leviticus, which mentions a protocol for separating individuals infected with leprosy from those who are healthy.<sup>2</sup>

**Quarantine** harkens back to the most fatal pandemic recorded in human history, the Black Death. In 1377, on the advice of the city's chief physician, the Mediterranean seaport of Ragusa passed a law establishing an isolation period for all visitors from plague-endemic lands.<sup>2</sup> Initially a 30-day isolation period (a *trentino*), this was extended to 40 days (a *quarantino*). Distinct from isolation, quarantine is the practice of limiting movements of apparently healthy individuals who may have been exposed to a disease but do not have a confirmed diagnosis.

**Seclusion**, a term used most often in psychiatry, is defined as "the involuntary confinement of a patient alone in a room or area from which the patient is physically prevented from leaving."<sup>3</sup> The use of seclusion rooms in psychiatric facilities was originally championed by the 19th century British psychiatrist John Conolly.<sup>4</sup> In *The Treatment of the Insane without Mechanical Restraints*, Conolly argued that a padded seclusion room was far more humane and effective in calming a violent patient than mechanical restraints. After exhausting less restrictive measures, seclusion is one of the most common means of restraining violent patients in inpatient psychiatric facilities.

### Why consider seclusion?

The discussion of using seclusion as a means of quarantine has arisen recently due to the COVID-19 pandemic. This infectious disease was first identified in December 2019 in Wuhan, China.<sup>5</sup> Since then, it has spread rapidly across the world. As of mid-October 2020, >39 million cases across 189 countries had been reported.<sup>6</sup> The primary means by which the virus is spread is through respiratory droplets released

from infected individuals through coughing, sneezing, or talking.<sup>7</sup> These droplets can remain airborne or fall onto surfaces that become fomites. Transmission is possible before symptoms appear in an infected individual or even from individuals who are asymptomatic.<sup>8</sup>

The typical layout and requirements of an inpatient psychiatric ward intensify the risk of COVID-19 transmission.<sup>9</sup> Unlike most medical specialty wards, psychiatric wards are set up with a therapeutic milieu where patients have the opportunity to mingle and interact with each other and staff members. Patients are allowed to walk around the unit, spend time in group therapy, eat meals with each other, and have visitation hours. The therapeutic benefit of such a milieu, however, must be weighed against the risks that patients pose to staff members and other patients. While many facilities have restricted some of these activities to limit COVID-19 exposure, the overall risk of transmission is still elevated. Early in course of the pandemic, the virus spread to an inpatient psychiatric ward in South Korea. Although health officials put the ward on lockdown, given the heightened risk of transmission, the virus quickly spread from patient to patient. Out of 103 inpatients, 101 contracted COVID-19.<sup>10</sup>

To mitigate this risk, many inpatient psychiatric facilities have mandated that all newly admitted patients be tested for COVID-19. By obtaining COVID-19 testing, facilities are better able to risk stratify their patient population and appropriately protect all patients. A dilemma arises, however, when a patient refuses to consent to COVID-19 testing. In such cases, the infectious risk of the patient remains unknown. Given the potentially disastrous consequences of an unchecked COVID-19 infection running rampant in an inpatient ward, some facilities have elected to use seclusion as a means of quarantining the patient.

### Is seclusion justifiable?

There are legitimate objections to using seclusion as a means of quarantine. Most guidelines state that the only time seclusion is ethical is when it is used to prevent

Table 1

## Medical society recommendations regarding inpatient isolation

Medical society	Pertinent concept
American College of Gynecologists <sup>21</sup>	“Patients with known or suspected COVID-19 should be cared for in a single-person room with the door closed”
American College of Physicians <sup>22</sup>	“Isolate the patient under investigation (PUI), if possible, in an Airborne Infection Isolation Room (AIIR). Only essential personnel should enter the AIIR”
Infectious Disease Society of America <sup>23</sup>	“The role of an AIIR, or negative pressure room ... is unclear, with the exception of those involved in AGPs ...” “In facilities with limited AIIR access, these rooms should be reserved for patients undergoing AGPs”
American College of Emergency Physicians <sup>24</sup>	“Discourage the use of restraints while keeping people in the least restrictive setting possible that corresponds to their condition or presenting symptoms”

AGPs: aerosol-generating procedures; COVID-19: coronavirus disease 2019

immediate physical danger, either to the patient or others.<sup>11</sup> Involuntary confinement entails considerable restriction of a patient’s rights and thus should be used only after all other options have been exhausted. People opposed to the use of seclusion point out that outside of the hospital, people are not forcibly restrained in order to enforce social distancing,<sup>12</sup> so by extension, those who are inside the hospital should not be forced to seclude.

Seclusion also comes with potentially harmful effects. For the 14 days that a patient is in quarantine, they are cut off from most social contact, which is the opposite of the intended purpose of the therapeutic milieu in inpatient psychiatric wards. Several quantitative studies have shown that individuals who are quarantined tend to report a high prevalence of symptoms of psychological distress, including low mood, irritability, depression, stress, anger, and posttraumatic stress disorder.<sup>13</sup>

Furthermore, there is considerable evidence that a negative test does not definitively rule out a COVID-19 infection. Nasal swabs for COVID-19 have a false-negative rate of 27%.<sup>14</sup> In other words, patients on an inpatient psychiatry ward who are free to walk around the unit and interact with others are only *probably* COVID-19 free, not definitively. This fact throws into question the original justification for seclusion—to protect other patients from COVID-19.

### Support for using seclusion as quarantine

Despite these objections, there are clear arguments in favor of using seclusion as a means of quarantine. First, the danger posed by an unidentified COVID-19 infection to the inpatient psychiatric population is not small. As of mid-October 2020, >217,000 Americans had died of COVID-19.<sup>6</sup> Psychiatric patients, especially those who are acutely decompensated and hospitalized, have a heightened risk.<sup>15</sup> Those with underlying medical issues are more likely to be seriously affected by an infection. Patients with serious mental illness have higher rates of medical comorbidities<sup>16</sup> and premature death.<sup>17</sup> The risk of a patient contracting and then dying from COVID-19 is elevated in an inpatient psychiatric ward. Even if a test is not 100% sensitive or specific, the balance of probability it provides is sufficient to make an informed decision about transmission risk.

In choosing to seclude a patient who refuses COVID-19 testing, the treating team must weigh one person’s autonomy against the safety of every other individual on the ward. From a purely utilitarian perspective, the lives of the many outweigh the discomfort of one. Addressing this balance, the American Medical Association (AMA) Code of Ethics states “Although physicians’ primary ethical obligation is to their individual patients, they also have a long-recognized public health responsibility. In

### Clinical Point

**When considering seclusion, weigh the patient’s autonomy against the safety of every other individual on the ward**



## Inpatient seclusion during COVID-19

### Clinical Point

Medical evidence supports both quarantine and enacting isolation measures for COVID-19–positive hospitalized patients

Table 2

### Ethical considerations for inpatient quarantine

Must inform patient of risks and benefits
Consider health risks of those quarantined
Only institute if risks outweigh probable benefits
Use the least restrictive means necessary to enforce measures
Make policy transparent
Implementation must be equitable
Must provide treatment in accordance with accepted standards of care
Decisions made subject to review by those with appropriate expertise
Source: References 33-36

the context of infectious disease, this may include the use of quarantine and isolation to reduce the transmission of disease and protect the health of the public. In such situations, physicians have a further responsibility to protect their own health to ensure that they remain able to provide care. These responsibilities potentially conflict with patients' rights of self-determination and with physicians' duty to advocate for the best interests of individual patients and to provide care in emergencies.<sup>18</sup>

The AMA Code of Ethics further mentions that physicians should "support mandatory quarantine and isolation when a patient fails to adhere voluntarily." Medical evidence supports both quarantine<sup>19</sup> and enacting isolation measures for COVID-19–positive hospitalized patients.<sup>20</sup> *Table 1*<sup>21-24</sup> (*page 39*) summarizes the recommendations of major medical societies regarding isolation on hospital units.

Further, public health officials and law enforcement officials do in fact have the authority<sup>25</sup> to enforce quarantine and restrict a citizen's movement outside a hospital setting. Recent cases have illustrated how this has been enforced, particularly with the use of electronic monitoring units and even criminal sanctions.<sup>26,27</sup>

It is also important to consider that when used as quarantine, seclusion is not an indefinite action. Current recommendations suggest the longest period of time a patient

would need to be in seclusion is 14 days. A patient could potentially reduce this period by agreeing to COVID-19 testing and obtaining a negative test result.

### Enacting inpatient quarantine

In Mr. T's case, the resident physician was asked to make a decision regarding seclusion on the spot. Prudent facilities will set policies and educate clinicians before they need to face this conundrum. The following practical considerations may guide implementation of seclusion as a measure of quarantine on an inpatient psychiatric unit:

- given the risk of asymptomatic carriers, all admitted patients should be tested for COVID-19
- patients who refuse a test should be evaluated by the psychiatrist on duty to determine if the patient has the capacity to make this decision
- if a patient demonstrates capacity to refuse and continues to refuse testing, seclusion orders should then be placed
- the facility should create a protocol to ensure consistent application of seclusion orders.

So that they can make an informed decision, patients should be educated about the risks of not undergoing testing. It is important to correctly frame a seclusion decision to the patient. Explain that seclusion is not a punitive measure, but rather a means of respecting the patient's right to refuse testing while ensuring other patients' right to be protected from COVID-19 transmission.

It is crucial to not allow psychiatric care to be diminished because a patient is isolated due to COVID-19. Psychiatrists have legal duties to provide care when a patient is admitted to their unit,<sup>28-30</sup> and state laws generally outline patients' rights while they are hospitalized.<sup>31</sup> The use of technology can ensure these duties are fulfilled. Patient rounds and group treatment can be conducted through telehealth.<sup>10,32</sup> When in-person interaction is required, caretakers should don proper personal protective equipment and interact with the patient as often as they would if the patient were not in seclusion. *Table 2*<sup>33-36</sup> summarizes further ethical considerations

when implementing quarantine measures on a psychiatry unit.

## The contemporary inpatient unit

The ideal design to optimize care and safety is to create designated COVID-19 psychiatric units. Indeed, the US Substance Abuse and Mental Health Services Administration recommends segregating floors based on infection status where possible.<sup>37</sup> This minimizes the risk of transmission to other patients while maintaining the same standards of psychiatric treatment, including milieu and group therapy (which may also require adjustments). Such a unit already has precedent.<sup>38</sup> Although designated COVID-19 psychiatric units present clinical and administrative hurdles,<sup>39</sup> they may become more commonplace as the number of COVID-19–positive inpatients continues to rise.

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## Clinical Point

Facilities should create a protocol to ensure consistent application of seclusion orders

## Bottom Line

The coronavirus disease 2019 (COVID-19) pandemic has created challenges for inpatient psychiatric facilities. Although seclusion is a serious decision and should not be undertaken lightly, there are clear ethical and practical justifications for using it as a means of quarantine for patients who are COVID-19–positive or refuse testing.

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### Clinical Point

**Explain that seclusion is not punitive, but is a way to respect the patient's right to refuse testing while protecting other patients**