



# Sleep disorders in older adults

Early treatment of these complex disorders can greatly improve patients' quality of life

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As humans live longer, a renewed focus on quality of life has made the prompt diagnosis and treatment of sleep-related disorders in older adults increasingly necessary.<sup>1</sup> Normative aging results in multiple changes in sleep architecture, including decreased total sleep time, decreased sleep efficiency, decreased slow-wave sleep (SWS), and increased awakenings after sleep onset.<sup>2</sup> Sleep disturbances in older adults are increasingly recognized as multifactorial health conditions requiring comprehensive modification of risk factors, diagnosis, and treatment.<sup>3</sup>

In this article, we discuss the effects of aging on sleep architecture and provide an overview of primary sleep disorders in older adults. We also summarize strategies for diagnosing and treating sleep disorders in these patients.

## **Elements of the sleep cycle**

The human sleep cycle begins with light sleep (sleep stages 1 and 2), progresses into SWS (sleep stage 3), and culminates in rapid eye movement (REM) sleep. The first 3 stages are referred to as non-rapid eye movement sleep (NREM). Throughout the night, this coupling of NREM and REM cycles occurs 4 to 6 times, with each successive cycle decreasing in length until awakening.<sup>4</sup>

Two complex neurologic pathways intersect to regulate the timing of sleep and wakefulness on arousal. The first pathway, the circadian system, is located within the suprachiasmatic nucleus of the hypothalamus and is highly dependent on external stimuli (light, food, etc.) to synchronize sleep/wake cycles. The suprachiasmatic nucleus regulates melatonin



## Sleep disorders in older adults

### Clinical Point

While some changes are inherent to aging, underdiagnosed pathologies may adversely affect sleep architecture in older adults



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### Box

## The effects of aging on sleep architecture

It has long been known that sleep architecture changes significantly with age. One of the largest meta-analyses of sleep changes in healthy individuals throughout childhood into old age found that total sleep time, sleep efficiency, percentage of slow-wave sleep, percentage of rapid eye movement sleep (REM), and REM latency all decreased with normative aging.<sup>7</sup> Other studies have also found a decreased ability to maintain sleep (increased frequency of awakenings and prolonged nocturnal awakenings).<sup>8</sup>

Based on several meta-analyses, the average total sleep time at night in the adult population decreases by approximately 10 minutes per decade in both men and women.<sup>7,9-11</sup> However, this pattern is not observed after age 60, when the total sleep time plateaus.<sup>7</sup> Similarly, the duration of wake after sleep onset increases by approximately 10 minutes every decade for adults age 30 to 60, and plateaus after that.<sup>7,8</sup>

Epidemiologic studies have suggested that the prevalence of daytime napping

increases with age.<sup>8</sup> This trend continues into older age without a noticeable plateau.

A study of a nationally representative sample of >7,000 Japanese participants found that a significantly higher proportion of older adults take daytime naps (27.4%) compared with middle-age adults (14.4%).<sup>12</sup> Older adults nap more frequently because of both lifestyle and biologic changes that accompany normative aging. Polls in the United States have shown a correlation between frequent napping and an increase in excessive daytime sleepiness, depression, pain, and nocturia.<sup>13</sup>

While sleep latency steadily increases after age 50, recent studies have shown that in healthy individuals, these changes are modest at best,<sup>7,9,14</sup> which suggests that other pathologic factors may be contributing to this problem. Although healthy older people were found to have more frequent arousals throughout the night, they retained the ability to reinitiate sleep as rapidly as younger adults.<sup>7,9</sup>

secretion by the pineal gland, which signals day-night transitions. The other pathway, the homeostatic system, modifies the amount of sleep needed daily. When multiple days of poor sleep occur, homeostatic sleep pressure (colloquially described as sleep debt) compensates by increasing the amount of sleep required in the following days. Together, the circadian and homeostatic systems work in conjunction to regulate sleep quantity to approximately one-third of the total sleep-wake cycle.<sup>25</sup>

Age-related dysfunction of the regulatory sleep pathways leads to blunting of the ability to initiate and sustain high-quality sleep.<sup>6</sup> Dysregulation of homeostatic sleep pressure decreases time spent in SWS, and failure of the circadian signaling apparatus results in delays in sleep/wake timing.<sup>2</sup> While research into the underlying neurobiology of sleep reveals that some of these changes are inherent to aging (**Box**<sup>7-14</sup>), significant underdiagnosed pathologies may adversely affect sleep architecture, including polypharmacy, comorbid neuropathology (eg, synucleinopathies, tauopathies, etc.), and primary sleep disorders (insomnias, hypersomnias, and parasomnias).<sup>15</sup>

### Primary sleep disorders

**Obstructive sleep apnea (OSA)** is one of the most common, yet frequently underdiagnosed reversible causes of sleep disturbances. It is characterized by partial or complete airway obstruction culminating in periods of involuntary cessation of respirations during sleep. The resultant fragmentation in sleep leads to significant downstream effects over time, including excessive daytime sleepiness and fatigue, poor occupational and social performance, and substantial cognitive impairment.<sup>3</sup> While it is well known that OSA increases in prevalence throughout middle age, this relationship plateaus after age 60.<sup>16</sup> An estimated 40% to 60% of Americans age >60 are affected by OSA.<sup>17</sup> The hypoxemia and fragmented sleep caused by unrecognized OSA are associated with a significant decline in activities of daily living (ADL).<sup>18</sup> Untreated OSA is strongly linked to the development and progression of several major health conditions, including cardiovascular disease, diabetes mellitus, hypertension, stroke, and depression.<sup>19</sup> In studies of long-term care facility residents—many of whom

Table 1

## Screening for obstructive sleep apnea: The STOP-Bang Questionnaire<sup>a</sup>

Snoring	Do you snore loudly?
Tiredness	Do you often feel tired during the daytime?
Observed apnea	Has anyone observed you stop breathing during sleep?
Pressure	Do you have high blood pressure?
Body mass index	Body mass index >35 kg/m <sup>2</sup>
Age	>50 years
Neck circumference	>40 cm
Gender	Male

<sup>a</sup>Add 1 point for each positive response. Low risk = 0 to 2; intermediate risk = 3 to 4; high risk = ≥5

Source: Reference 22

may have comorbid cognitive decline—researchers found that unrecognized OSA often mimics the progressive cognitive decline seen in major neurocognitive disorders.<sup>20</sup> However, classic symptoms of OSA may not always be present in these patients, and their daytime sleepiness is often attributed to old age rather than to a pathological etiology.<sup>16</sup> Screening for OSA and prompt initiation of the appropriate treatment may reverse OSA-induced cognitive changes in these patients.<sup>21</sup>

The primary presenting symptom of OSA is snoring, which is correlated with pauses in breathing. Risk factors include increased body mass index (BMI), thick neck circumference, male sex, and advanced age. In older adults, BMI has a lower impact on the Apnea-Hypopnea Index, an indicator of the number of pauses in breathing per hour, when compared with young and middle-age adults.<sup>16</sup> Validated screening questionnaires for OSA include the STOP-Bang Questionnaire (Table 1<sup>22</sup>), OSA50, Berlin Questionnaire, and Epworth Sleepiness Scale, each of which is used in different subpopulations. The current diagnostic standard for OSA is nocturnal polysomnography in a sleep laboratory, but recent advances in home sleep apnea testing have made it a viable, low-cost alternative for patients who do not have significant medical comorbidities.<sup>23</sup> Standard utilized cutoffs for diagnosis are ≥5 events/hour (hypopneas associated with at least 4% oxygen desaturations) in conjunction with clinical symptoms of OSA.<sup>24</sup>

**Treatment.** First-line treatment for OSA is continuous positive airway pressure therapy, but adherence rates vary widely with patient education and regular follow-up.<sup>25</sup> Adjunctive therapy includes weight loss, oral appliances, and uvulopalatopharyngoplasty, a procedure in which tissue in the throat is remodeled or removed.

**Central sleep apnea (CSA)** is a pause in breathing without evidence of associated respiratory effort. In adults, the development of CSA is indicative of underlying lower brainstem dysfunction, due to intermittent failures in the pontomedullary centers responsible for regulation of rhythmic breathing.<sup>26</sup> This can occur as a consequence of multiple diseases, including congestive heart failure, stroke, renal failure, chronic medication use (opioids), and brain tumors.

The Sleep Heart Health Study—the largest community-based cohort study to date examining CSA—estimated that the prevalence of CSA among adults age >65 was 1.1% (compared with 0.4% in those age <65).<sup>27</sup> Subgroup analysis revealed that men had significantly higher rates of CSA compared with women (2.7% vs 0.2%, respectively).

CSA may present similarly to OSA (excessive daytime somnolence, insomnia, poor sleep quality, difficulties with attention and concentration). Symptoms may also mimic those of coexisting medical conditions in older adults, such as nocturnal angina or paroxysmal nocturnal dyspnea.<sup>27</sup> Any older patient with daytime sleepiness and risk factors for CSA should be referred for in-laboratory nocturnal polysomnography,

### Clinical Point

**Classic symptoms of OSA, such as snoring, might not be present in older adults**



## Sleep disorders in older adults

### Clinical Point

In older adults, insomnia is a significant risk factor for the development or exacerbation of depression

Table 2

### Sleep hygiene: What to tell patients

Go to bed at a consistent time every night
Make sure the bedroom is quiet, dark, relaxing, and at a comfortable temperature
Remove electronic devices such as televisions, computers, and smart phones from sleeping area
Avoid large meals, caffeine, and alcohol before bedtime
Avoid tobacco and nicotine
Stay physically active during the day
Avoid strenuous physical exercise immediately before bedtime

the gold standard diagnostic test. Unlike in OSA, ambulatory diagnostic measures (home sleep apnea testing) have not been validated for this disorder.<sup>27</sup>

**Treatment.** The primary treatment for CSA is to address the underlying medical problem. Positive pressure ventilation has been attempted with mixed results. Supplemental oxygen and medical management (acetazolamide or theophylline) can help stimulate breathing. Newer studies have shown favorable outcomes with transvenous neurostimulation or adaptive servoventilation.<sup>28-30</sup>

**Insomnia.** For a primary diagnosis of insomnia, DSM-5 requires at least 3 nights per week of sleep disturbances that induce distress or functional impairment for at least 3 months.<sup>31</sup> The International Classification of Disease, 10th Edition requires at least 1 month of symptoms (lying awake for a long time before falling asleep, sleeping for short periods, being awake for most of the night, feeling lack of sleep, waking up early) after ruling out other sleep disorders, substance use, or other medical conditions.<sup>4</sup> Clinically, insomnia tends to present in older adults as a subjective complaint of dissatisfaction with the quality and/or quantity of their sleep. Insomnia has been consistently shown to be a significant risk factor for both the development or exacerbation of depression in older adults.<sup>32-34</sup>

While the diagnosis of insomnia is mainly clinical via a thorough sleep and medication

history, assistive ancillary testing can include wrist actigraphy and screening questionnaires (the Insomnia Severity Index and the Pittsburgh Sleep Quality Index).<sup>4</sup> Because population studies of older adults have found discrepancies between objective and subjective methods of assessing sleep quality, relying on the accuracy of self-reported symptoms alone is questionable.<sup>35</sup>

**Treatment.** Given that drug elimination half-life increases with age, and the risks of adverse effects are increased in older adults, the preferred treatment modalities for insomnia are nonpharmacologic.<sup>4</sup> Sleep hygiene education (Table 2) and cognitive-behavioral therapy (CBT) for insomnia are often the first-line therapies.<sup>4,36,37</sup> It is crucial to manage comorbidities such as heart disease and obesity, as well as sources of discomfort from conditions such as arthritic pain.<sup>38,39</sup> If nonpharmacologic therapies are not effective, pharmacologic options can be considered.<sup>4</sup> Before prescribing sleep medications, it may be more fruitful to treat underlying psychiatric disorders such as depression and anxiety with antidepressants.<sup>4</sup> Although benzodiazepines are helpful for their sedative effects, they are not recommended for older adults because of an increased risk of falls, rebound insomnia, potential tolerance, and associated cognitive impairment.<sup>40</sup> Benzodiazepine receptor agonists (eg, zolpidem, eszopiclone, zaleplon) were initially developed as a first-line treatment for insomnia to replace the reliance on benzodiazepines, but these medications have a “black-box” warning of a serious risk of complex sleep behaviors, including life-threatening parasomnias.<sup>41</sup> As a result, guidelines suggest a shorter duration of treatment with a benzodiazepine receptor agonist may still provide benefit while limiting the risk of adverse effects.<sup>42</sup>

Doxepin is the only antidepressant FDA-approved for insomnia; it improves sleep latency (time taken to initiate sleep after lying down), duration, and quality in adults age >65.<sup>43</sup> Melatonin receptor agonists such as ramelteon and melatonin have shown positive results in older patients with insomnia. In clinical trials of patients age ≥65, ramelteon, which is FDA-approved for insomnia, produced no rebound insomnia, withdrawal effects, memory impairment,

Table 3

## Medications used to treat insomnia in older adults

Class	Medication(s)	Bedtime dosage	Common adverse effects in older adults
Benzodiazepines	Estazolam	0.5 mg	Daytime drowsiness, fall risk, seizure with sudden withdrawal, respiratory depression, caution in hepatic or renal impairment, rebound insomnia, anterograde amnesia, and anxiety (triazolam)
	Flurazepam	15 mg	
	Temazepam	7.5 mg	
	Triazolam	0.125 mg	
Nonbenzodiazepine receptor agonists	Zaleplon	5 mg	Somnolence, dizziness, abdominal pain, weakness, and complex sleep-related behaviors (sleepwalking)
	Zolpidem	2.5 mg	
Orexin antagonist	Suvorexant	5 mg	Dry mouth, somnolence, sleep paralysis, and fall risk
Serotonin antagonist and reuptake inhibitor	Trazodone	25 mg	Orthostasis, xerostomia, and daytime sedation
Noradrenergic and specific serotonergic antidepressant	Mirtazapine	7.5 to 15 mg	Anticholinergic effects, daytime sedation, and weight gain
Tricyclic antidepressant	Doxepin	3 mg	Mild anticholinergic effects and somnolence. Caution in glaucoma and history of urinary retention
Antipsychotic	Quetiapine	25 to 200 mg	QTc prolongation, drowsiness, and dry mouth. Black-box warning for increased risk of death in patients with dementia
Anticonvulsants	Gabapentin	100 mg	Drowsiness and somnolence. Adjunctive for restless leg syndrome or neuropathic pain. Caution in renal impairment
	Tiagabine	2 mg	
Dopamine agonist	Pramipexole	0.125 mg	Insomnia and hallucinations
Histamine H1-receptor antagonist	Diphenhydramine	12.5 mg	Anticholinergic effects, daytime sedation, respiratory depression, and tolerance
Herbal	Valerian root	300 mg	Drowsiness and depression
Melatonin receptor agonists	Melatonin	5 mg	Residual daytime sedation. Minimal adverse effects
	Ramelteon	8 mg	
Cannabidiol	Cannabidiol	25 to 75 mg	Preliminary studies show minimal adverse effects. However, studies focusing on older adults are lacking

Source: Adapted from references 40-51

or gait instability.<sup>44-46</sup> Suvorexant, an orexin receptor antagonist, decreases sleep latency and increases total sleep time equally in both young and older adults.<sup>47-49</sup> **Table 3**<sup>40-51</sup> provides a list of medications used to treat insomnia (including off-label agents) and their common adverse effects in older adults.

**Parasomnias** are undesirable behaviors that occur during sleep, commonly associated with the sleep-wake transition period. These behaviors can occur during REM sleep (nightmare disorder, sleep

paralysis, REM sleep behavior disorder [see *page 36*] or NREM sleep (somnambulism [sleepwalking], confusional arousals, sleep terrors). According to a cross-sectional Norwegian study of parasomnias, the estimated lifetime prevalence of sleep walking is 22.4%; sleep talking, 66.8%; confusional arousal, 18.5%; and sleep terror, 10.4%.<sup>52</sup>

When evaluating a patient with parasomnias, it is important to review their drug and substance use as well as coexisting medical conditions. Drugs and substances that can

### Clinical Point

Melatonin receptor agonists such as ramelteon and melatonin have shown positive results in older adults with insomnia



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### Clinical Point

Clonazepam and melatonin have been shown to be effective in treating REM sleep behavior disorder

### Related Resources

- American Academy of Sleep Medicine. International Classification of Sleep Disorders—Third Edition. <https://aasm.org>
- SleepFoundation.org. Sleep hygiene. <https://www.sleepfoundation.org/articles/sleep-hygiene>

#### Drug Brand Names

Acetazolamide • Diamox	Suvorexant • Belsomra
Clonazepam • Klonopin	Temazepam • Restoril
Doxepin • Silenor	Theophylline • Elixophyllin
Eszopiclone • Lunesta	Tiagabine • Gabitril
Gabapentin • Neurontin	Trazadone • Desyre
Mirtazapine • Remeron	Triazolam • Halcion
Pramipexole • Mirapex	Zaleplon • Sonata
Quetiapine • Seroquel	Zolpidem • Ambien
Ramelteon • Rozerem	

affect sleep include prescription medications (second-generation antidepressants, stimulants, dopamine agonists), excessive caffeine, alcohol, certain foods (coffee, chocolate milk, black tea, caffeinated soft drinks), environmental exposures (smoking, pesticides), and recreational drugs (amphetamines).<sup>53-56</sup> Certain medical conditions are correlated with specific parasomnias (eg, sleep paralysis and narcolepsy, REM sleep behavior disorder and Parkinson's disease [PD], etc.).<sup>54</sup> Diagnosis of parasomnias is mainly clinical but supporting evidence can be obtained through in-lab polysomnography.

**Treatment.** For parasomnias, treatment is primarily supportive and includes creating a safe sleeping environment to reduce the risk of self-harm. Recommendations include sleeping in a room on the ground floor, minimizing furniture in the bedroom, padding any bedside furniture, child-proofing door-knobs, and locking up weapons and other dangerous household items.<sup>54</sup>

**REM sleep behavior disorder (RBD).** This disorder is characterized by a loss of the typical REM sleep-associated atonia and the presence of motor activity during dreaming

(dream-enacted behaviors). While the estimated incidence of RBD in the general adult population is approximately 0.5%, it increases to 7.7% among those age >60.<sup>57</sup> RBD occurs most commonly in the setting of the alpha-synucleinopathies (PD, Lewy body dementia, multisystem atrophy), but can also be found in patients with cerebral ischemia, demyelinating disorders, or alcohol misuse, or can be medication-induced (primarily antidepressants and antipsychotics).<sup>58</sup> In patients with PD, the presence of RBD is associated with a more impaired cognitive profile, suggestive of widespread neurodegeneration.<sup>59</sup> Recent studies revealed that RBD may also be a prodromal state of neurodegenerative diseases such as PD, which should prompt close monitoring and long-term follow up.<sup>60</sup> Similar to other parasomnias, the diagnosis of RBD is primarily clinical, but polysomnography plays an important role in demonstrating loss of REM-related atonia.<sup>54</sup>

**Treatment.** Clonazepam and melatonin have been shown to be effective in treating the symptoms of RBD.<sup>54</sup>

### Depression, anxiety, and sleep disturbances

Major depressive disorder (MDD) and generalized anxiety disorder (GAD) affect sleep in patients of all ages, but are underreported in older adults. According to national epidemiologic surveys, the estimated prevalence of MDD and GAD among older adults is 13% and 11.4%, respectively.<sup>61,62</sup> Rates as high as 42% and 39% have been reported in meta-regression analyses among patients with Alzheimer's dementia.<sup>63</sup>

Depression and anxiety may have additive effects and manifest as poor sleep satisfaction, increased sleep latency, insomnia, and daytime sleepiness.<sup>64</sup> However, they

## Bottom Line

Sleep disorders in older adults are common but often underdiagnosed. Timely recognition of obstructive sleep apnea, central sleep apnea, insomnia, parasomnias, and other sleep disturbances can facilitate effective treatment and greatly improve older adults' quality of life.

may also have independent effects. Studies showed that patients with depression alone reported overall poor sleep satisfaction, whereas patients with anxiety alone reported problems with sleep latency, daytime drowsiness, and waking up at night in addition to their overall poor sleep satisfaction.<sup>65-67</sup> Both depression and anxiety are risk factors for developing cognitive decline, and may be an early sign/prodrome of neurodegenerative diseases (dementias).<sup>68</sup> The bidirectional relationship between depression/anxiety and sleep is complex and needs further investigation.

**Treatment.** Pharmacologic treatments for patients with depression/anxiety and sleep disturbances include selective serotonin reuptake inhibitors, serotonin-norepinephrine reuptake inhibitors, tricyclic antidepressants, and other serotonin receptor agonists.<sup>69-72</sup> Nonpharmacologic treatments include CBT for both depression and anxiety, and problem-solving therapy for patients with mild cognitive impairment and depression.<sup>73,74</sup> For severe depression and/or anxiety, electroconvulsive therapy is effective.<sup>75</sup>

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#### Clinical Point

Depression and anxiety may manifest as poor sleep satisfaction, increased sleep latency, and insomnia





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### Clinical Point

The bidirectional relationship between depression/anxiety and sleep is complex and requires further investigation

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