# Is it psychosis, or an autoimmune encephalitis?

# How to differentiate anti-NMDA receptor encephalitis from first-episode psychosis

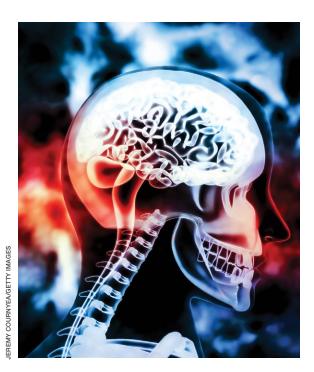
idden within routine presentations of first-episode psychosis is a rare subpopulation whose symptoms are mediated by an autoimmune process for which proper treatment differs significantly from standard care for typical psychotic illness. In this article, we present a hypothetical case and describe how to assess if a patient has an elevated probability of autoimmune encephalitis, determine what diagnostics or medication-induced effects to consider, and identify unresolved questions about best practices.

#### CASE REPORT

#### **Bizarre behavior and isolation**

Ms. L, age 21, is brought to the emergency department (ED) by her college roommate after exhibiting out-of-character behavior and gradual self-isolation over the last 2 months. Her roommate noticed that she had been spending more time isolated in her dorm room and remaining in bed into the early afternoon, though she does not appear to be asleep. Ms. L's mother is concerned about her daughter's uncharacteristic refusal to travel home for a family event. Ms. L expresses concern about the intentions of her research preceptor, and recalls messages from the association of colleges telling her to "change her future." Ms. L hears voices telling her who she can and cannot trust. In the ED, she says she has a headache, experiences mild dizziness while standing, and reports having a brief upper respiratory illness at the end of last semester. Otherwise, a medical review of systems is negative. continued

Disclosures



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The authors report no financial relationships with any companies whose products are mentioned in this article, or with manufacturers of competing products.



Anti-NMDA receptor encephalitis

### **Clinical Point**

Catatonic features have been observed in patients with CSF-confirmed anti-NMDA receptor encephalitis



Although the etiology of first-episode psychosis can be numerous or unknown, many psychiatrists feel comfortable with the initial diagnostic for this type of clinical presentation. However, for some clinicians, it may be challenging to feel confident in making a diagnosis of autoimmune encephalitis.

Autoimmune encephalitis is a family of syndromes caused by autoantibodies targeting either intracellular or extracellular neuronal antigens. Anti-*N*-methyl-Daspartate (NMDA) receptor encephalitis is one of the most common forms of autoimmune encephalitis that can present with symptoms of psychosis.<sup>1</sup>

In this article, we focus on anti-NMDA receptor encephalitis and use the term interchangeably with autoimmune encephalitis for 2 reasons. First, anti-NMDA receptor encephalitis can present with psychotic symptoms as the only symptoms (prior to cognitive or neurologic manifestations) or can present with psychotic symptoms as the main indicator (with other symptoms that are more subtle and possibly missed). Second, anti-NMDA receptor encephalitis often occurs in young adults, which is when it is common to see the onset of a primary psychotic illness. These 2 factors make it likely that these cases will come into the evaluative sphere of psychiatrists. We give special attention to features of cases of anti-NMDA receptor encephalitis confirmed with antineuronal antibodies in the CSF, as it has emerged that antibodies in the serum can be nonspecific and nonpathogenic.2,3

# What does anti-NMDA receptor encephalitis look like?

Symptoms of anti-NMDA receptor encephalitis resemble those of a primary psychotic disorder, which can make it challenging to differentiate between the 2 conditions, and might cause the correct diagnosis to be missed. Pollak et al<sup>4</sup> proposed that psychiatrically confusing presentations that don't clearly match an identifiable psychotic disorder should raise a red flag for an autoimmune etiology. However, studies often fail to describe the specific psychiatric features of anti-NMDA receptor encephalitis, and thus provide little practical evidence to guide diagnosis. In some of the largest studies of patients with anti-NMDA receptor encephalitis, psychiatric clinical findings are often combined into nonspecific headings such as "abnormal behavior" or "behavioral and cognitive" symptoms.5 Such groupings make this the most common clinical finding (95%)<sup>5</sup> but make it difficult to discern particular clinical characteristics. Where available, specific symptoms identified across studies include agitation, aggression, changes in mood and/or irritability, insomnia, delusions, hallucinations, and occasionally catatonic features.<sup>6,7</sup> Attempts to identify specific psychiatric phenotypes distinct from primary psychotic illnesses have fallen short due to contradictory findings and lack of clinical practicality.8 One exception is the presence of catatonic features, which have been found in CSF-confirmed studies.<sup>2</sup> In contrast to the typical teaching that the hallucination modality (eg, visual or tactile) can be helpful in estimating the likelihood of a secondary psychosis (ie, drug-induced, neurodegenerative, or autoimmune), there does not appear to be a difference in hallucination modality between encephalitis and primary psychotic disorders.9

## History and review of systems

Another red flag to consider is the rapidity of symptom presentation. Symptoms that progress within 3 months increase the likelihood that the patient has autoimmune encephalitis.<sup>10</sup> Cases where collateral information indicates the psychotic episode was preceded by a long, subtle decline in school performance, social withdrawal, and attenuated psychotic symptoms typical of a schizophrenia prodrome are less likely to be an autoimmune psychosis.11 A more delayed presentation does not entirely exclude autoimmune encephalitis; however, a viral-like prodrome before the onset of psychosis increases the likelihood of autoimmune encephalitis. Such a prodrome may include fever, headache, nausea, vomiting, and diarrhea.7

Another indication is the presence of new seizures within 1 year of presenting



# Risk factors for autoimmune encephalitis: Questions to ask patients with first-episode psychosis



Question	encephalitis
Have you had any recent cold and/or viral symptoms?	Viral and/or cold symptoms often precede and are thought not to be due to an infection, but from the autoimmune process
Have you ever had cancer?	Particularly germ cell neoplasms
Have you had any instances when you lost consciousness, had memory gaps, or appeared unresponsive to others?	New complex partial seizures
Have you experienced dizziness while standing?	Autonomic instability, such as orthostasis

with psychotic symptoms.<sup>10</sup> The possibility of undiagnosed seizures should be considered in a patient with psychosis who has episodes of unresponsiveness, dissociative episodes, or seizure-like activity that is thought to be psychogenic but has not been fully evaluated. Seizures in autoimmune encephalitis involve deep structures in the brain and can be present without overt epileptiform activity on EEG, but rather causing only bilateral slowing that is often described as nonspecific.<sup>12</sup>

In a young patient presenting with firstepisode psychosis, a recent diagnosis of cancer or abnormal finding in the ovaries increases the likelihood of autoimmune encephalitis.4 Historically, however, this type of medical history has been irrelevant to psychosis. Although rare, any person presenting with first-episode psychosis and a history of herpes simplex virus (HSV) encephalitis should be evaluated for autoimmune encephalitis because anti-NMDA receptor antibodies have been reported to be present in approximately one-third of these patients.<sup>13</sup> Finally, the report of focal neurologic symptoms, including neck stiffness or neck pain, should raise concern, although sensory, working memory, and cognitive deficits may be difficult to fully distinguish from common somatic and cognitive symptoms in a primary psychiatric presentation.

*Table 1* lists 4 questions to ask patients who present with first-episode psychosis that may not usually be part of a typical evaluation.

## CASE CONTINUED

#### Uncooperative with examination

Eastures consistent with autoimmune

In the ED, Ms. L's heart rate is 101 beats per minute and her blood pressure is 102/72 mm Hg. Her body mass index (BMI) is 22, which suggests an approximate 8-pound weight loss since her BMI was last assessed. Ms. L responds to questions with 1- to 6-word sentences, without clear verbigeration. Though her speech is not pressured, it is of increased rate. Her gaze scans the room, occasionally becoming fixed for 5 to 10 seconds but is aborted by the interviewer's comment on this behavior. Ms. L efficiently and accurately spells WORLD backwards, then asks "Why?" and refuses to engage in further cognitive testing, stating "Not doing that." When the interviewer asks "Why not?" she responds "Not doing that." Her cranial nerves are intact, and she refuses cerebellar testing or requests to assess tone. There are no observed stereotypies, posturing, or echopraxia.

While not necessary for a diagnosis of autoimmune encephalitis, short-term memory loss is a common cognitive finding across studies.<sup>5-7</sup> A common clinical finding from a mental status exam is speech disorders, including (but not limited to) increased rates of speech or decreased verbal output.<sup>7</sup> Autonomic instability—including tachycardia, markedly labile blood pressures, and orthostasis—all increase the likelihood of autoimmune encephalitis.<sup>14</sup> Interpreting a patient's vital sign changes can be confounded if they are agitated or anxious, or if they are taking an antipsychotic that produces

## **Clinical Point**

Symptoms that progress within 3 months increase the likelihood of autoimmune encephalitis



Anti-NMDA receptor encephalitis

### **Clinical Point**

Some guidelines suggest that symptoms of catatonia should be considered a red flag for autoimmune encephalitis adverse anticholinergic effects. However, vital sign abnormalities that precede medication administration or do not correlate with fluctuations in mental status increase suspicion for an autoimmune encephalitis.

In the absence of the adverse effect of a medication, orthostasis is uncommon in a well-hydrated young person. Some guidelines<sup>4</sup> suggest that symptoms of catatonia should be considered a red flag for autoimmune encephalitis. According to the Bush-Francis Catatonia Rating Scale, commonly identified features include immobility, staring, mutism, posturing, withdrawal, rigidity, and gegenhalten.<sup>15</sup> Catatonia is common among patients with anti-NDMA receptor encephalitis, though it may not be initially present and could emerge later.<sup>2</sup> However, there are documented cases of autoimmune encephalitis where the patient had only isolated features of catatonia, such as echolalia or mutism.2

#### CASE CONTINUED

# History helps narrow the diagnosis

Ms. L's parents say their daughter has not had prior contact with a therapist or psychiatrist, previous psychiatric diagnoses, hospitalizations, suicide attempts, self-injury, or binging or purging behaviors. Ms. L's paternal grandfather was diagnosed with schizophrenia, but he is currently employed, lives alone, and has not taken medication for many years. Her mother has hypothyroidism. Ms. L was born at full term via vaginal delivery without cardiac defects or a neonatal intensive care unit stay. Her mother said she did not have postpartum depression or anxiety, a complicated pregnancy, or exposure to tobacco, alcohol, or illicit drug use. Ms. L has no history of childhood seizures or head injury with loss of consciousness. She is an only child, born and raised in a house in a metropolitan area, walked at 13 months, did not require early intervention or speech therapy, and met normal language milestones.

She attended kindergarten at age 6 and progressed throughout public school without regressions in reading, writing, or behavioral manifestations, and did not require a 504 Plan or individualized education program. Ms. L graduated high school in the top 30% of her class, was socially active, and attended a local college. In college, she achieved honor roll, enrolled in a sorority, and was a part of a research lab. Her only medication is oral contraception. She consumes alcohol socially, and reports no cannabis, cigarette, or vaping use. Ms. L says she does not use hallucinogens, stimulants, opiates, or cocaine, and her roommate and family confirm this. She denies recent travel and is sexually active. Ms. L's urinary and serum toxicology are unremarkable, human chorionic gonadotropin is undetectable, and her sodium level is 133 mEq/L. A measure of serum neutrophils is 6.8 x 10<sup>9</sup>/L and serum lymphocytes is 1.7 x 10<sup>9</sup>/L. Her parents adamantly request a Neurology consultation and further workup, including a lumbar puncture (LP), EEG, and brain imaging (MRI).

This information is useful in ruling out other potential causes of psychosis, such as substance-induced psychosis and neurodevelopmental disorders that can present with psychosis. Additionally, neurodevelopmental abnormalities and psychiatric prodromal symptoms are known precedents in individuals who develop a primary psychotic disorder such as schizophrenia.<sup>16</sup> A family history that includes a psychotic illness may increase the likelihood of a primary psychotic disorder in offspring; however, clinicians must also consider the accuracy of diagnosis in the family, as this can often be inaccurate or influenced by historical cultural bias. We recommend further elucidating the likelihood of a genetic predisposition to a primary psychotic disorder by clarifying familial medication history and functionality.

For example, the fact that Ms. L's grandfather has not taken medication for many years and has a high degree of functioning and/or absence of cognitive deficits would lower our suspicion for an accurate diagnosis of schizophrenia (given the typical cognitive decline with untreated illness). Another piece of family history relevant to autoimmune encephalitis includes the propensity for autoimmune disorders, but expert opinion on this matter is mixed.<sup>17</sup> Ms. L's mother has hypothyroidism, which is commonly caused by a prior episode of Hashimoto's autoimmune thyroiditis. Some physicians advocate for measuring



# Key features of laboratory and diagnostics findings in anti-NMDA receptor encephalitis



Test	Finding that raises concern for anti-NMDA receptor encephalitis	Notes
ESR/CRP	Often not informative of anti-NMDA receptor encephalitis	Useful in ruling out other etiologies of psychosis (eg, systemic inflammatory or infectious etiologies)
Serum antibody testing	Positive for anti-NMDA receptor antibodies	Can take 1 to 2 weeks to return; risk of false positives, so further testing of CSF recommended if there is a positive result; will also miss cases where antibodies are present only in CSF
EEG	Generalized slowing (most common), epileptiform activity, or extreme delta brush (very rare)	Complex partial seizures of the deep brain structures in encephalitis can manifest as generalized slowing without overt epileptiform activity on EEG
MRI	Temporal or multifocal T2 hyperintensities	Found only in a minority of confirmed cases of encephalitis; the majority of cases of encephalitis have normal MRI
CSF basic labs	Elevated protein or cells	More sensitive and specific than EEG, but requires LP
CSF antibody testing	Positive for anti-NMDA receptor antibodies	Can take 1 to 2 weeks to return; most sensitive and specific test
CPP: C-reactive protein: ESP: enuthropute sedimentation rate: LP: lumbar puncture: NMDA: N-methyl-p-aspartate		

CRP: C-reactive protein; ESR: erythrocyte sedimentation rate; LP: lumbar puncture; NMDA: N-methyl-D-aspartate

antithyroid antibodies and erythrocyte sedimentation rate or C-reactive protein to gauge the level of autoimmunity, but the usefulness of these measures for detecting autoimmune encephalitis is unclear. These serum markers can be useful in detecting additional important etiologies such as systemic infection or systemic inflammation, and there are conditions such as steroid-responsive encephalopathy with associated thyroiditis, which, as the name suggests, responds to steroids rather than other psychotropic medications. Other risk factors for autoimmune encephalitis include being female, being young, having viral infections (eg, HSV), prior tumor burden, and being in the postpartum period.<sup>18</sup> Some experts also suggest the presence of neurologic symptoms 4 weeks after the first psychiatric or cognitive symptom presentation increases the likelihood of anti-NMDA receptor encephalitis, and a lack of neurologic symptoms would make this diagnosis less likely.<sup>6,19</sup>

Another item of interest in Ms. L's case is her parents' request for a Neurology consultation and further workup, as there is an association between caregiver request for workup and eventual diagnosis.<sup>6</sup> While the etiology of this phenomenon is unclear, the literature suggests individuals with autoimmune encephalitis who initially present to Psychiatry experience longer delays to the appropriate treatment with immunomodulatory therapy than those who first present to Neurology.<sup>20</sup>

#### Laboratory and diagnostic testing

Guasp et al<sup>2</sup> recommend EEG, MRI, and serum autoimmune antibodies (ie, screening for anti-NMDA receptor antibodies) for patients who present with first-episode psychosis, even in the absence of some of the red flags previously discussed. A recent economic analysis suggested screening all patients with first-episode psychosis for serum antibodies may be cost-effective.<sup>21</sup> Since there can be false positives from serum testing, a positive result should be followed by CSF testing. Serum antibody testing will miss cases where anti-NMDA receptor antibodies are present only in CSF, which is why Guasp et al<sup>2</sup> recommend ancillary screening

# **Clinical Point**

A recent economic analysis suggested screening all patients with first-episode psychosis for serum antibodies may be cost-effective



Anti-NMDA receptor encephalitis

#### **Clinical Point**

Most patients with anti-NMDA encephalitis have EEG abnormalities with EEG and MRI. Screening all first-episode psychosis patients with EEG and MRI would represent a major change to psychiatric practice and would be beyond the current practical capabilities of many facilities that treat people with new-onset psychosis. Additional evidence is needed before such a change would be required. These suggestions are supported by studies that found most patients with anti-NMDA receptor encephalitis do not initially present with focal neurologic findings, though the majority (95%) do have EEG abnormalities.<sup>22022</sup>

For patients whose presentations include features concerning for anti-NMDA receptor encephalitis, an EEG and MRI are reasonable. In a review of EEG abnormalities in anti-NMDA receptor encephalitis, Gillinder et al<sup>23</sup> noted that while 30% did not have initial findings, 83.6% of those with confirmed anti-NMDA receptor encephalitis demonstrated EEG abnormalities; the most common were generalized slowing, delta slowing, and focal abnormalities. Discovering an extreme delta-brush activity on EEG is specific for anti-NMDA receptor encephalitis, but its absence is not fully informative. Practically, slowing can be a nonspecific manifestation of encephalopathy or a medication effect, and many people who present with first-episode psychosis will have recently received antipsychotics, which alter EEG frequency. In a study of EEG changes with antipsychotics, Centorrino et al<sup>24</sup> found that generalized background slowing into the theta range across all antipsychotics was not significantly different from control participants, while theta to delta range slowing occurred in 8.2% of those receiving antipsychotics vs 3.3% of controls. Clozapine and olanzapine may be associated with greater EEG abnormalities, while haloperidol and quetiapine contribute a lower risk.<sup>25</sup> For young patients with first-episode psychosis without a clear alternative explanation, we advocate for further autoimmune encephalitis workup among all individuals with generalized theta or delta wave slowing.

Because these medication effects are most likely to decrease specificity but not sensitivity of EEG for autoimmune encephalitis, a normal EEG without slowing can be reassuring.<sup>26</sup> Moreover, for patients who receive neuroimaging, an MRI may detect inflammation that is not visible on CT. The concerning findings for anti-NMDA receptor encephalitis are temporal or multifocal T2 hyperintensities, though the MRI is normal in most cases and thus should not be reassuring if other concerning features are present.<sup>27</sup>

## The role of lumbar puncture

Another area of active debate surrounds the usefulness and timing of LP. Guasp et al<sup>2</sup> proposed that all individuals with first-episode psychosis and focal neurologic findings should receive LP and CSF antineuronal antibody testing. They recommend that patients with first-episode psychosis without focal neurologic findings also should receive LP and CSF testing if  $\geq 1$ of the following is present:

slowing on EEG

• temporal or multifocal T2 hyperintensities on MRI

 $\bullet$  positive anti-NMDA receptor antibody in the serum.  $^2$ 

Evidence suggests that basic CSF parameters, such as elevated protein and white blood cell counts, are some of the most sensitive and specific tests for autoimmune encephalitis.2 Thus, if the patient is amenable and logistical factors are in place, it may be reasonable to pursue LP earlier in some cases without waiting for serum antibody assays to return (these results can take several weeks). CSF inflammatory changes without neuronal antibodies should lead to other diagnostic considerations (eg, systemic inflammatory disease, psychosis attributed to systemic lupus erythematosus).7 While nonspecific, serum laboratory values that may increase suspicion of anti-NMDA receptor encephalitis include hyponatremia<sup>6</sup> and an elevated neutrophil-to-lymphocyte ratio (NLR).<sup>28</sup> An NLR >4 in conjunction with CSF albumin-toserum albumin ratio >7 is associated with impaired blood brain barrier integrity and a worse prognosis for those with anti-NMDA receptor encephalitis.28

Additional clinical features that may sway decisions in favor of obtaining LP



# Warning signs for autoimmune encephalitis that could emerge during initial treatment of first-episode psychosis

Warning sign	Comments
Atypical age of presentation of psychosis	Primary psychosis is rare in very young and geriatric patients
Rapid onset and progression of symptoms	Weeks to months
Viral prodrome	Fever, headache, nausea, vomiting, diarrhea
New-onset seizures in the past year	Especially if treatment-refractory
History of systemic neoplasm in last 5 years, or recent finding concerning for possible neoplasm	Especially germ cell tumors or ovarian teratomas
History of HSV encephalitis	A high proportion of people subsequently develop anti-NMDA receptor antibodies
Autonomic instability	Orthostasis or labile blood pressure or heart rate
Catatonia	Particularly if progression is rapid or extensive
Adverse reaction to antipsychotics	Autonomic instability or other features concerning for early neuroleptic malignant syndrome
Focal neurologic deficits or rapid decline in cognitive performance	Such as persistent headache, meningismus, hyper-reflexia
Dissociative episodes, particularly if there is an absence of known risk factors for dissociation such as a history of trauma	Complex partial seizures may phenomenologically manifest similarly to dissociative episodes; the seizure activity may include only deep brain structures producing only nonspecific generalized slowing on EEG

HSV: herpes simplex virus

despite negative findings on EEG, MRI, and serum antibodies include increased adverse reactions to antipsychotics (eg, neuroleptic malignant syndrome), prodromal infectious symptoms, known tumor, or newonset neurologic symptoms after initial evaluation.<sup>2,8</sup>

*Table 2 (page 35)* summarizes key features of laboratory and diagnostic findings in anti-NMDA receptor encephalitis.

# When should you pursue a more extensive workup?

There are some practical tools and rating scales to help clinicians conceptualize risk for autoimmune encephalitis. For psychiatric purposes, however, many of these scales assume that LP, MRI, and EEG have already been completed, and thus it is challenging to incorporate them into psychiatric practice. One such tool is the Antibody Prevalence in Epilepsy and Encephalopathy scale; a score  $\geq$ 4 is 98% sensitive and 78% to 84% specific for predicting antineural autoantibody positivity.<sup>10</sup> *Table 3* describes

warning signs that may be useful in helping clinicians decide how urgently to pursue a more extensive workup in the possibility of autoimmune encephalitis.

The importance of catching anti-NMDA receptor encephalitis is underscored by the fact that appropriate treatment is very different than for primary psychosis, and outcomes worsen with delay to appropriate treatment.20 Without treatment, severe cases may progress to autonomic instability, altered consciousness, and respiratory compromise warranting admission to an intensive care unit. While the details are beyond the scope of this review, the recommended treatment for confirmed cases of anti-NMDA receptor encephalitis includes tumor removal (if indicated), reducing inflammation (steroids), removing antibodies via IV immunoglobulins, or plasma exchange.8,29 Progression of the disease may warrant consideration of rituximab or cyclophosphamide. In nonresponsive cases, third-line treatments include proteasome inhibitors or interleukin-6 receptor antagonists.8 For patients with severe catatonia, some studies



# **Clinical Point**

In select cases, it may be reasonable to pursue lumbar puncture without waiting for serum antibody assays to return



#### Anti-NMDA receptor encephalitis

#### **Related Resources**

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#### **Drug Brand Names**

Clozapine • Clozaril Haloperidol • Haldol Olanzapine • Zyprexa

Quetiapine • Seroquel Rituximab • Rituxan

## **Clinical Point**

Severe cases may progress to autonomic instability, altered consciousness, and respiratory compromise

have investigated the utility of electroconvulsive therapy.<sup>30</sup> Conceptually, clinicians may consider the utility of antipsychotics as similar to recommendations for hyperactive delirium for the management of psychotic symptoms, agitation, or insomnia. However, given the risk for antipsychotic intolerance, using the lowest effective dose and vigilant screening for the emergence of extrapyramidal symptoms, fever, and autonomic instability is recommended.

#### CASE CONTINUED Finally, something objective

Ms. L receives haloperidol 2 mg and undergoes an MRI without contrast. Findings are unremarkable. A spot EEG notes diffuse background slowing in the theta range, prompting lumbar puncture. Findings note 0.40 g/L, 0.2 g/L, and 3.5 for the total protein, albumin, and albumin/CSF-serum quotient (QAlb), respectively; all values are within normal limits. A mild lymphocytic pleocytosis is present as evidenced by a cell count of 35 cells/µL. The CSF is sent for qualitative examination of immunoglobulin G and electrophoresis of proteins in the CSF and serum, of which an increased concentration

of restricted bands (oligoclonal bands) in the CSF but not the serum would indicate findings of oligoclonal bands. CSF is sent for detection of anti-NMDA receptor antibodies by indirect immunofluorescence, with a plan to involve an interdisciplinary team for treatment if the antibodies return positive and to manage the case symptomatically in the interim.

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continued on page 44

# **Bottom Line**

A small subpopulation of patients who present with apparent first-episode psychosis will have symptoms caused by autoimmune encephalitis (specifically, anti-NMDA receptor encephalitis). We provide 4 screening questions to determine when to pursue a workup for an autoimmune encephalitis, and describe relevant clinical symptoms and warning signs to help differentiate the 2 conditions.



Anti-NMDA receptor encephalitis

## **Clinical Point**

Progression despite adequate firstline treatment may warrant consideration of rituximab or cyclophosphamide

#### Psychosis or encephalitis? continued from page 38

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