

## Association Between Postdischarge Emergency Department Visitation and Readmission Rates

Arjun K. Venkatesh, MD, MBA, MHS<sup>1,2\*</sup>, Changqin Wang, MD, MS<sup>1</sup>, Yongfei Wang, MS<sup>1</sup>, Faseeha Altaf, MPH<sup>1</sup>,  
Susannah M. Bernheim, MD, MHS<sup>1,3</sup>, Leora Horwitz MD, MHS<sup>4,5,6</sup>

<sup>1</sup>Center for Outcomes Research and Evaluation, Yale-New Haven Hospital, New Haven, Connecticut; <sup>2</sup>Department of Emergency Medicine, School of Medicine, Yale University, New Haven, Connecticut; <sup>3</sup>Department of Internal Medicine, School of Medicine, Yale University, New Haven, Connecticut; <sup>4</sup>Center for Healthcare Innovation and Delivery Science, New York University Langone Medical Center, New York, New York; <sup>5</sup>Division of Healthcare Delivery Science, Department of Population Health, School of Medicine, New York University, New York, New York; <sup>6</sup>Division of General Internal Medicine and Clinical Innovation, Department of Medicine, School of Medicine, New York University, New York, New York.

**BACKGROUND:** Hospital readmission rates are publicly reported by the Centers for Medicare & Medicaid Services (CMS); however, the implications of emergency department (ED) visits following hospital discharge on readmissions are uncertain. We describe the frequency, diagnoses, and hospital-level variation in ED visitation following hospital discharge, including the relationship between risk-standardized ED visitation and readmission rates.

**METHODS:** This is a cross-sectional analysis of Medicare beneficiaries hospitalized for acute myocardial infarction (AMI), heart failure, and pneumonia between July 2011 and June 2012. We used Medicare Standard Analytic Files to identify admissions, readmissions, and ED visits consistent with CMS measures. Postdischarge ED visits were defined as treat-and-discharge ED services within 30 days of hospitalization without readmission. We utilized hierarchical generalized linear models to calculate hospital risk-standardized postdischarge ED visit rates and readmission rates.

**RESULTS:** We included 157,035 patients hospitalized at 1,656 hospitals for AMI, 391,209 at 3,044 hospitals for heart failure, and 342,376 at 3,484 hospitals for pneumonia. After hospitalization for AMI, heart failure, and pneumonia, there were 14,714 (9%), 31,621 (8%), and 26,681 (8%) ED visits, respectively. Hospital-level variation in postdischarge ED visit rates was substantial: AMI (median: 8.3%; 5th and 95th percentile: 2.8%-14.3%), heart failure (median: 7.3%; 5th and 95th percentile: 3.0%-13.3%), and pneumonia (median: 7.1%; 5th and 95th percentile: 2.4%-13.2%). There was statistically significant inverse correlation between postdischarge ED visit rates and readmission rates: AMI (−0.23), heart failure (−0.29), and pneumonia (−0.18).

**CONCLUSIONS:** Following hospital discharge, ED treat-and-discharge visits are half as common as readmissions for Medicare beneficiaries. There is wide hospital-level variation in postdischarge ED visitation, and hospitals with higher ED visitation rates demonstrated lower readmission rates. *Journal of Hospital Medicine* 2018;13:589-594. Published online first March 15, 2018. © 2018 Society of Hospital Medicine

Hospital readmissions for acute myocardial infarction (AMI), heart failure, and pneumonia have become central to quality-measurement efforts by the Centers for Medicare & Medicaid Services (CMS), which seek to improve hospital care transitions through public reporting and payment programs.<sup>1</sup> Most current measures are limited to readmissions that require inpatient hospitalization and do not capture return visits to the emergency department (ED) that do not result in readmission but rather ED discharge. These

visits may reflect important needs for acute, unscheduled care during the vulnerable posthospitalization period.<sup>2,5</sup> While previous research has suggested that nearly 10% of patients may return to the ED following hospital discharge without readmission, the characteristics of these visits among Medicare beneficiaries and the implications for national care-coordination quality-measurement initiatives have not been explored.<sup>6,7</sup>

As the locus of acute outpatient care and the primary portal of hospital admissions and readmissions, ED visits following hospital discharge may convey meaningful information about posthospitalization care transitions.<sup>8,9</sup> In addition, recent reviews and perspectives have highlighted the role of ED care-coordination services as interventions to reduce inpatient hospitalizations and improve care transitions,<sup>10,11</sup> yet no empirical studies have evaluated the relationship between these unique care-coordination opportunities in the ED and care-coordination outcomes, such as hospital readmissions. As policymakers seek to develop accountability measures that capture the totality of acute, unscheduled visits following hospital

\*Address for correspondence: Arjun K. Venkatesh, MD, MBA, MHS, 1 Church St., 2nd Floor, New Haven, CT 06510; Telephone: 203-764-5700; Fax: 203-764-5653; E-mail: arjun.venkatesh@yale.edu

Additional Supporting Information may be found in the online version of this article.

Received July 31, 2017; Revised: November 10, 2017;

Accepted: November 26, 2017

© 2018 Society of Hospital Medicine DOI 10.12788/jhm.2937

discharge, describing the relationship between ED visits and readmissions will be essential to providers for benchmarking and to policymakers and payers seeking to reduce the total cost of care.<sup>12,13</sup>

Accordingly, we sought to characterize the frequency, diagnoses, and hospital-level variation in treat-and-discharge ED visitation following hospital discharge for three conditions for which hospital readmission is publicly reported by the CMS: AMI, heart failure, and pneumonia. We also sought to evaluate the relationship between hospital-level ED visitation following hospital discharge and publicly reported, risk-standardized readmission rates (RSRRs).

## METHODS

### Study Design

This study was a cross-sectional analysis of Medicare beneficiaries discharged alive following hospitalization for AMI, heart failure, and pneumonia between July 2011 and June 2012.

### Selection of Participants

We used Medicare Standard Analytic Files to identify inpatient hospitalizations for each disease cohort based on principal discharge diagnoses. Each condition-specific cohort was constructed to be consistent with the CMS's readmission measures using *International Classification of Diseases, 9th Revision-Clinical Modification* codes to identify AMI, heart failure, and pneumonia discharges.<sup>1</sup> We included only patients who were enrolled in fee-for-service (FFS) Medicare parts A and B for 12 months prior to their index hospitalization to maximize the capture of diagnoses for risk adjustment. Each cohort included only patients who were discharged alive while maintaining FFS coverage for at least 30 days following hospital discharge to minimize bias in outcome ascertainment. We excluded patients who were discharged against medical advice. All contiguous admissions that were identified in a transfer chain were considered to be a single admission. Hospitals with fewer than 25 condition-specific index hospital admissions were excluded from this analysis for consistency with publicly reported measures.<sup>1</sup>

### Measurements

We measured postdischarge, treat-and-release ED visits that occurred at any hospital within 30 days of hospital discharge from the index hospitalization. ED visits were identified as a hospital outpatient claim for ED services using hospital outpatient revenue center codes 0450, 0451, 0452, 0456, and 0981. This definition is consistent with those of previous studies.<sup>3,14</sup> We defined postdischarge ED visits as treat-and-discharge visits or visits that did not result in inpatient readmission or observation stays. Similar to readmission measures, only one postdischarge ED visit was counted toward the hospital-level outcome in patients with multiple ED visits within the 30 days following hospital discharge. We defined readmission as the first unplanned, inpatient hospitalization occurring at any hospital within the 30-day period following discharge. Any subsequent inpatient admission following the 30-day period was considered a distinct index admission if it met the inclusion

criteria. Consistent with CMS methods, unplanned, inpatient readmissions are from any source and are not limited to patients who were first evaluated in the ED.

### Outcomes

We describe hospital-level, postdischarge ED visitation as the risk-standardized postdischarge ED visit rate. The general construct of this measure is consistent with those of prior studies that define postdischarge ED visitation as the proportion of index admissions followed by a treat-and-discharge ED visit without hospital readmission<sup>2,3</sup>; however, this outcome also incorporates a risk-standardization model with covariates that are identical to the risk-standardization approach that is used for readmission measurement.

We describe hospital-level readmission by calculating RSRRs consistent with CMS readmission measures, which are endorsed by the National Quality Forum and used for public reporting.<sup>15-17</sup> Detailed technical documentation, including the SAS code used to replicate hospital-level measures of readmission, are available publicly through the CMS QualityNet portal.<sup>18</sup>

We calculated risk-standardized postdischarge ED visit rates and RSRRs as the ratio of the predicted number of postdischarge ED visits or readmissions for a hospital given its observed case mix to the expected number of postdischarge ED visits or readmissions based on the nation's performance with that hospital's case mix, respectively. This approach estimates a distinct risk-standardized postdischarge ED visit rate and RSRR for each hospital using hierarchical generalized linear models (HGLMs) and using a logit link with a first-level adjustment for age, sex, 29 clinical covariates for AMI, 35 clinical covariates for heart failure, and 38 clinical covariates for pneumonia. Each clinical covariate is identified based on inpatient and outpatient claims during the 12 months prior to the index hospitalization. The second level of the HGLM includes a random hospital-level intercept. This approach to measuring hospital readmission rates accounts for the correlated nature of observed readmission rates within a hospital and reflects the assumption that after adjustment for patient characteristics and sampling variability, the remaining variation in postdischarge ED visit rates or readmission rates reflects hospital quality.

### Analysis

In order to characterize treat-and-discharge postdischarge ED visits, we first described the clinical conditions that were evaluated during the first postdischarge ED visit. Based on the principal discharge diagnosis, ED visits were grouped into clinically meaningful categories using the Agency for Healthcare Research and Quality Clinical Classifications Software (CCS).<sup>19</sup> We also report hospital-level variation in risk-standardized postdischarge ED visit rates for AMI, heart failure, and pneumonia.

Next, we examined the relationship between hospital characteristics and risk-standardized postdischarge ED visit rates. We linked hospital characteristics from the American Hospital Association (AHA) Annual Survey to the study dataset, including the following: safety-net status, teaching status, and urban

TABLE. Postdischarge ED Visit Rates Based on Hospital Characteristics

Hospital Characteristics	AMI				Heart Failure				Pneumonia			
	Hospitals, N	Observed Postdischarge ED Visit Rate, % (95% CI)	Risk-Standardized Postdischarge ED Visit Rate, % (95% CI)	RSRR, % (95% CI)	Hospitals, N	Observed Postdischarge ED Visit Rate, % (95% CI)	Risk-Standardized Postdischarge ED Visit Rate, % (95% CI)	RSRR, % (95% CI)	Hospitals, N	Observed Postdischarge ED Visit Rate, % (95% CI)	Risk-Standardized Postdischarge ED Visit Rate, % (95% CI)	RSRR, % (95% CI)
<b>Teaching Status</b>												
Nonteaching	978	8.7 (8.4 to 8.9)	8.6 (8.5 to 8.7)	17.5 (17.4 to 17.6)	2183	8.2 (8.1 to 8.4)	7.8 (7.7 to 7.9)	22.5 (22.4 to 22.5)	2634	7.9 (7.8 to 8)	7.6 (7.5 to 7.6)	17.2 (17.2 to 17.3)
Teaching	611	8.5 (8.2 to 8.7)	8.6 (8.5 to 8.7)	17.6 (17.5 to 17.7)	723	7.2 (7 to 7.4)	7.2 (7.1 to 7.3)	22.2 (22.1 to 22.4)	708	6.9 (6.7 to 7.1)	7.1 (7 to 7.2)	17.3 (17.2 to 17.4)
<b>Location</b>												
Rural	14	12.8 (10 to 15.6)	10.1 (9 to 11.2)	17.2 (16.7 to 17.7)	316	10.2 (9.6 to 10.8)	8.4 (8.2 to 8.6)	22.7 (22.5 to 22.8)	582	9.3 (8.9 to 9.6)	8.0 (7.9 to 8.1)	17.2 (17.2 to 17.3)
Urban	1,575	8.5 (8.4 to 8.7)	8.6 (8.5 to 8.7)	17.5 (17.5 to 17.6)	2590	7.7 (7.6 to 7.8)	7.5 (7.5 to 7.6)	22.4 (22.3 to 22.4)	2760	7.4 (7.3 to 7.5)	7.4 (7.3 to 7.4)	17.2 (17.2 to 17.3)
<b>Ownership</b>												
Public	158	8.8 (8.2 to 9.3)	8.6 (8.4 to 8.8)	17.6 (17.5 to 17.8)	422	8.7 (8.4 to 9)	8.0 (7.9 to 8.2)	22.2 (22.1 to 22.4)	591	8.4 (8 to 8.7)	7.7 (7.6 to 7.9)	17.2 (17.2 to 17.2)
Nonprofit	1,160	8.6 (8.4 to 8.8)	8.6 (8.5 to 8.7)	17.5 (17.5 to 17.6)	1936	7.7 (7.6 to 7.9)	7.5 (7.4 to 7.6)	22.3 (22.3 to 22.4)	2191	7.5 (7.4 to 7.6)	7.4 (7.3 to 7.4)	17.2 (17.2 to 17.3)
Private	271	8.1 (7.7 to 8.6)	8.4 (8.3 to 8.6)	17.6 (17.5 to 17.8)	548	7.7 (7.5 to 8)	7.5 (7.4 to 7.7)	22.6 (22.5 to 22.8)	560	7.3 (7 to 7.5)	7.3 (7.2 to 7.4)	17.3 (17.2 to 17.4)
<b>Safety-Net Status</b>												
Nonsafety net	1,324	8.6 (8.4 to 8.7)	8.6 (8.5 to 8.7)	17.5 (17.5 to 17.6)	2273	7.7 (7.6 to 7.8)	7.5 (7.4 to 7.6)	22.4 (22.3 to 22.4)	2505	7.5 (7.3 to 7.6)	7.3 (7.3 to 7.4)	17.3 (17.2 to 17.3)
Safety net	265	8.6 (8.2 to 9.1)	8.6 (8.4 to 8.7)	17.7 (17.6 to 17.8)	633	8.4 (8.1 to 8.7)	7.8 (7.7 to 8)	22.4 (22.2 to 22.5)	837	8.2 (8 to 8.5)	7.7 (7.6 to 7.8)	17.2 (17.1 to 17.2)

NOTE: Included are 1,564 of 1,656 hospitals (94%) for AMI, 2,839 of 3,044 hospitals (93%) for heart failure, and 3,266 of 3,484 of hospitals (94%) with hospital characteristics available. Abbreviations: AMI, acute myocardial infarction; CI, confidence interval; ED, emergency department.

or rural status. Consistent with prior work, hospital safety-net status was defined as a hospital Medicaid caseload greater than one standard deviation above the mean Medicaid caseload in the hospital's state. Approximately 94% of the hospitals included in the three condition cohorts in the dataset had complete data in the 2011 AHA Annual Survey to be included in this analysis.

We evaluated the relationship between postdischarge ED visit rates and hospital readmission rates in two ways. First, we calculated Spearman rank correlation coefficients between hospital-level, risk-standardized postdischarge ED visit rates and RSRRs. Second, we calculated hospital-level variation in RSRRs based on the strata of risk-standardized postdischarge ED visit rates. Given the normal distribution of postdischarge

ED visit rates, we grouped hospitals by quartile of postdischarge ED visit rates and one group for hospitals with no postdischarge ED visits.

Based on preliminary analyses indicating a relationship between hospital size, measured by condition-specific index hospitalization volume, and postdischarge treat-and-discharge ED visit rates, all descriptive statistics and correlations reported are weighted by the volume of condition-specific index hospitalizations. The study was approved by the Yale University Human Research Protection Program. All analyses were conducted using SAS 9.1 (SAS Institute Inc, Cary, North Carolina). The analytic plan and results reported in this work are in compliance with the Strengthening the Reporting of Observational Studies in Epidemiology checklist.<sup>20</sup>

## RESULTS

During the one-year study period, we included a total of 157,035 patients who were hospitalized at 1,656 hospitals for AMI, 391,209 at 3,044 hospitals for heart failure, and 342,376 at 3,484 hospitals for pneumonia. Details of study cohort creation are available in supplementary Table 1. After hospitalization for AMI, 14,714 patients experienced a postdischarge ED visit (8.4%) and 27,214 an inpatient readmissions (17.3%) within 30 days of discharge; 31,621 (7.6%) and 88,106 (22.5%) patients after hospitalization for heart failure; and 26,681 (7.4%) and 59,352 (17.3%) patients after hospitalization for pneumonia experienced a postdischarge ED visit and an inpatient readmission within 30 days of discharge, respectively.

Postdischarge ED visits were for a wide variety of conditions, with the top 10 CCS categories comprising 44% of postdischarge ED visits following AMI hospitalizations, 44% of following heart failure hospitalizations, and 41% following pneumonia hospitalizations (supplementary Table 2). The first postdischarge ED visit was rarely for the same condition as the index hospitalization in the AMI cohort (224 visits; 1.5%) as well as the pneumonia cohort (1,401 visits; 5.3%). Among patients who were originally admitted for heart failure, 10.6% of the first postdischarge ED visits were also for heart failure. However, the first postdischarge ED visit was commonly for associated conditions, such as coronary artery disease in the case of AMI or chronic obstructive pulmonary disease in the case of pneumonia, albeit these related conditions did not comprise the majority of postdischarge ED visitation.

We found wide hospital-level variation in postdischarge ED visit rates for each condition: AMI (median: 8.3%; 5th and 95th percentile: 2.8%-14.3%), heart failure (median: 7.3%; 5th and 95th percentile: 3.0%-13.3%), and pneumonia (median: 7.1%; 5th and 95th percentile: 2.4%-13.2%; supplementary Table 3). The variation persisted after accounting for hospital case mix, as evidenced in the supplementary Figure, which describes hospital variation in risk-standardized postdischarge ED visit rates. This variation was statistically significant ( $P < .001$ ), as demonstrated by the isolated relationship between the random effect and the outcome (AMI: random effect estimate 0.0849 [95% confidence interval (CI), 0.0832 to 0.0866]; heart failure: random effect estimate 0.0796 [95% CI, 0.0784 to 0.0809]; pneumonia: random effect estimate 0.0753 [95% CI, 0.0741 to 0.0764]).

Across all three conditions, hospitals located in rural areas had significantly higher risk-standardized postdischarge ED visit rates than hospitals located in urban areas (10.1% vs 8.6% for AMI, 8.4% vs 7.5% for heart failure, and 8.0% vs 7.4% for pneumonia). In comparison to teaching hospitals, nonteaching hospitals had significantly higher risk-standardized postdischarge ED visit rates following hospital discharge for pneumonia (7.6% vs 7.1%). Safety-net hospitals also had higher risk-standardized postdischarge ED visitation rates following discharge for heart failure (8.4% vs 7.7%) and pneumonia (7.7% vs 7.3%). Risk-standardized postdischarge ED visit rates were higher in publicly owned hospitals than in nonprofit or privately owned hospitals for heart failure (8.0% vs 7.5% in nonprofit hospitals or 7.5% in

private hospitals) and pneumonia (7.7% vs 7.4% in nonprofit hospitals and 7.3% in private hospitals; Table).

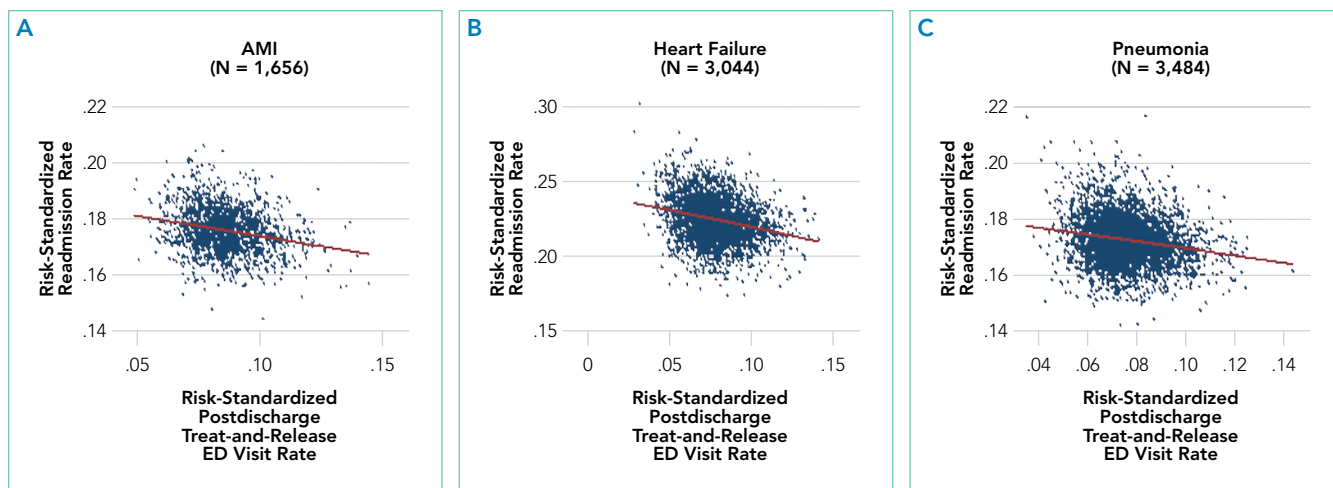
Among hospitals with RSRRs that were publicly reported by CMS, we found a moderate inverse correlation between risk-standardized postdischarge ED visit rates and hospital RSRRs for each condition: AMI ( $r = -0.23$ ; 95% CI,  $-0.29$  to  $-0.19$ ), heart failure ( $r = -0.29$ ; 95% CI,  $-0.34$  to  $-0.27$ ), and pneumonia ( $r = -0.18$ ; 95% CI,  $-0.22$  to  $-0.15$ ; Figure).

## DISCUSSION

Across a national cohort of Medicare beneficiaries, we found frequent treat-and-discharge ED utilization following hospital discharge for AMI, heart failure, and pneumonia, suggesting that publicly reported readmission measures are capturing only a portion of postdischarge acute-care use. Our findings confirm prior work describing a 30-day postdischarge ED visit rate of 8% to 9% among Medicare beneficiaries for all hospitalizations in several states.<sup>3,6</sup> While many of the first postdischarge ED visits were for conditions related to the index hospitalization, the majority represent acute, unscheduled visits for different diagnoses. These findings are consistent with prior work studying inpatient readmissions and observation readmissions that find similar heterogeneity in the clinical reasons for hospital return.<sup>21,22</sup>

We also described substantial hospital-level variation in risk-standardized ED postdischarge rates. Prior work by Vashi et al.<sup>3</sup> demonstrated substantial variation in observed postdischarge ED visit rates and inpatient readmissions following hospital discharge between clinical conditions in a population-level study. Our work extends upon this by demonstrating hospital-level variation for three conditions of high volume and substantial policy importance after accounting for differences in hospital case mix. Interestingly, our work also found similar rates of postdischarge ED treat-and-discharge visitation in recent work by Sabbatini et al.<sup>23</sup> analyzing an all-payer, adult population with any clinical condition. Taken together, these studies show the substantial volume of postdischarge acute-care utilization in the ED not captured by existing readmission measures.

We found several hospital characteristics of importance in describing variation in postdischarge ED visitation rates. Notably, hospitals located in rural areas and safety-net hospitals demonstrated higher postdischarge ED visitation rates. This may reflect a higher use of the ED as an acute, unscheduled care access point in rural communities without access to alternative acute diagnostic and treatment services.<sup>24</sup> Similarly, safety-net hospitals may be more likely to provide unscheduled care for patients with poor access to primary care in the ED setting. Yet, consistent with prior work, our results also indicate that these differences do not result in different readmission rates.<sup>25</sup> Regarding hospital teaching status, unlike prior work suggesting that teaching hospitals care for more safety-net Medicare beneficiaries,<sup>26</sup> our work found opposite patterns of postdischarge ED visitation between hospital teaching and safety-net status following pneumonia hospitalization. This may reflect differences in the organization of acute care as



**FIG.** Association between Readmission Rates and Postdischarge ED Visit Rates for AMI, Heart Failure, and Pneumonia.

patients with limited access to unscheduled primary and specialty care in safety-net communities utilize the ED, whereas patients in teaching-hospital communities may be able to access hospital-based clinics for care.

Contrary to the expectations of many clinicians and policymakers, we found an inverse relationship between postdischarge ED visit rates and readmission rates. While the cross-sectional design of our study cannot provide a causal explanation, these findings merit policy attention and future exploration of several hypotheses. One possible explanation for this finding is that hospitals with high postdischarge ED visit rates provide care in communities in which acute, unscheduled care is consolidated to the ED setting and thereby permits the ED to serve a gatekeeper function for scarce inpatient resources. This hypothesis may also be supported by recent interventions demonstrating that the use of ED care coordination and geriatric ED services at higher-volume EDs can reduce hospitalizations. Also, hospitals with greater ED capacity may have easier ED access and may be able to see patients earlier in their disease courses postdischarge or more frequently in the ED for follow-up, therefore increasing ED visits but avoiding rehospitalization. Another possible explanation is that hospitals with lower postdischarge ED visit rates may also have a lower propensity to admit patients. Because our definition of postdischarge ED visitation did not include ED visits that result in hospitalization, hospitals with a lower propensity to admit from the ED may therefore appear to have higher ED visit rates. This explanation may be further supported by our finding that many postdischarge ED visits are for conditions that are associated with discretionary hospitalization in the ED.<sup>27</sup> A third explanation for this finding may be that poor access to outpatient care outside the hospital setting results in higher postdischarge ED visit rates without increasing the acuity of these revisits or increasing readmission rates<sup>28</sup>; however, given the validated, risk-standardized approach to readmission measurement, this is unlikely. This is also unlikely given recent work by Sabbatini et al.<sup>23</sup> demonstrating substantial acuity among patients who return to the ED following hospital discharge.

Future work should seek to evaluate the relationship between the availability of ED care-coordination services and the specific ED, hospital, and community care-coordination activities undertaken in the ED following hospital discharge to reduce readmission rates.

This work should be interpreted within the confines of its design. First, it is possible that some of the variation detected in postdischarge ED visit rates is mediated by hospital-level variation in postdischarge observation visits that are not captured in this outcome. However, in previous work, we have demonstrated that almost one-third of hospitals have no postdischarge observation stays and that most postdischarge observation stays are for more than 24 hours, which is unlikely to reflect the intensity of care of postdischarge ED visits.<sup>27</sup> Second, our analyses were limited to Medicare FFS beneficiaries, which may limit the generalizability of this work to other patient populations. However, this dataset did include a national cohort of Medicare beneficiaries that is identical to those included in publicly reported CMS readmission measures; therefore, these results have substantial policy relevance. Third, this work was limited to three conditions of high illness severity of policy focus, and future work applying similar analyses to less severe conditions may find different degrees of hospital-level variation in postdischarge outcomes that are amenable to quality improvement. Finally, we assessed the rate of treat-and-discharge ED visits only after hospital discharge; this understates the frequency of ED visits since repeat ED visits and ED visits resulting in rehospitalization are not included. However, our definition was designed to mirror the definition used to assess hospital readmissions for policy purposes and is a conservative approach.

In summary, ED visits following hospital discharge are common, as Medicare beneficiaries have one treat-and-discharge ED visit for every two readmissions within 30 days of hospital discharge. Postdischarge ED visits occur for a wide variety of conditions, with wide risk-standardized, hospital-level variation. Hospitals with the highest risk-standardized postdischarge ED visitation rates demonstrated lower RSRs, suggesting that poli-

cymakers and researchers should further examine the role of the hospital-based ED in providing access to acute care and supporting care transitions for the vulnerable Medicare population.

Disclosure: Dr. Venkatesh received contract support from the CMS, an agency of the U.S. Department of Health & Human Services, and grant support from the Emergency Medicine Foundation's Health Policy Research Scholar Award during the conduct of the study; and Dr. Wang, Mr. Wang, Ms. Altaf, Dr. Bernheim, and Dr. Horwitz received contract support from the CMS, an agency of the U.S. Department of Health & Human Services, during the conduct of the study.

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