

## An Electronic Health Record Tool Designed to Improve Pediatric Hospital Discharge has Low Predictive Utility for Readmissions

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We developed an electronic health record tool to improve pediatric hospital discharge. This tool flags children with three components that might complicate discharge: home health, polypharmacy ( $\geq 6$  medications), or non-English speaking caregiver. The tool tallies components and displays them as a composite score of 0-3 points. We describe the tool's development, implementation, and an evaluation of its predictive utility for 30-day unplanned readmissions in 29,542 discharged children. Of these children, 28% had a composite score of 1, 8% a score  $\geq 2$ , and 4% were

readmitted. The odds of readmission was significantly higher in children with a composite score of 1 versus 0 (odds ratio [OR]: 1.7; 95% CI, 1.5-2) and  $\geq 2$  versus 0 (OR 4.2; 95% CI 3.6-4.9). The C-statistic for this model was 0.62. Despite the positive association of the score with readmission, the tool's discriminatory performance is low. Additional research is needed to evaluate its practical benefit for improving the quality of hospital discharge. *Journal of Hospital Medicine* 2018;13:779-782. Published online first August 29, 2018. © 2018 Society of Hospital Medicine

As hospitalized children become more medically complex, hospital-to-home care transitions will become increasingly challenging. During a quality improvement (QI) initiative, we developed an electronic tool to improve the quality of our hospital discharge process.

We modeled the concept of a paper-based Early Screen for Discharge Planning – Child Version, which identifies children with multiple medical conditions, home nursing-care needs, tube feedings, presence of intravenous lines or drains, or posthospital care that requires coordination.<sup>1</sup> We opted for an electronic tool to automate screening and increase visibility of patients' care transitional needs via the electronic health record (EHR).

The tool was designed by our QI team to address weaknesses in our discharge process (eg, discharge instructions that are not translated appropriately) and causes of preventable readmission at our institution (eg, discharge teaching, home care, and medication-related problems).<sup>2,3</sup> The tool's selected components comprised those that might complicate or delay discharge care and included indicators of home health, polypharmacy, and caregiver language preference. Additional features were considered but withheld from the initial tool for several reasons noted in the methods.

We describe the development and implementation of this

electronic tool. Given the paucity of pediatric risk models, we conducted an analysis of the tool's potential to predict readmissions. We anticipated good predictive performance because the tool includes measures previously associated with readmission (eg, technology dependence, polypharmacy, and language barrier).<sup>4,7</sup> If successful in distinguishing readmission, this embedded discharge planning tool could also serve as a pediatric readmission risk score.

### METHODS

#### Setting

This work was conducted at the Children's Hospital Colorado as part of a national QI collaborative. The hospital's EHR is Epic (Verona, Wisconsin). The project was approved as QI by the Children's Hospital Organizational Research Risk and Quality Improvement Review Panel, precluding review from the Colorado Multiple Institutional Review Board.

#### Tool Design, Implementation, and Use

A team of clinicians, nurse-family educators, case managers, social workers, and informatics experts helped design the instrument between 2014 and 2015. In addition to the selected features (number of discharge medications, presence of home health, and language preference), we considered adding the number of consulting specialists but had previously improved our process for scheduling follow-up appointments. Diagnoses were not systematically or discretely documented to be reliably extracted in real time. We excluded known readmission predictor variables (such as length of stay [LOS] and prior hospitalizations) from the initial model to maintain emphasis on the modifiable discharge processes. Additional consider-

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TABLE. Patient and Caregiver Characteristics of Readmitted and Not Readmitted Children

Category	Unplanned 30-Day Readmission (n = 1,095)	No Unplanned 30-Day Readmission (n = 28,434)	P Value
Age, median (IQR)	5 (1,13)	5 (1,11)	.09
Length of stay, median (IQR)	3 (2, 7)	2 (1, 4)	<.0001
Non-English speaking caregiver	97 (9)	2,808 (10)	.13
Discharge medications			
0 meds	144 (13)	6,425 (23)	<.0001
1-5 meds	531 (47)	16,692 (59)	
≥6 meds	464 (41)	5,286 (19)	
Home care			
≥1 order	330 (29)	4,069 (14)	<.0001
Enteral feeding (eg, gastrostomy tube feeding)	161 (14)	1,269 (4)	<.0001
Respiratory (eg, home ventilator)	99 (9)	1,623 (6)	<.0001
IV infusion (eg, infusion of IV medication)	99 (9)	347 (1)	<.0001
Speech therapy	39 (3)	348 (1)	<.0001
Physical therapy	58 (5)	590 (2)	<.0001
Occupational therapy	57 (5)	536 (2)	<.0001
Skilled nursing home visits	78 (7)	571 (2)	<.0001
Private duty nursing home visits	31 (3)	175 (1)	<.0001
CNA nursing assistant home visits	19 (2)	289 (1)	.03
Durable medical equipment (eg, wheel chair)	57 (5)	1,063 (4)	.03
Composite score			
Score = 0 (Readmission rate: 3%)	515 (45)	18,500 (65)	<.0001
Score = 1 (Readmission rate: 5%)	379 (33)	7,805 (27)	<.0001
Score = 2 (Readmission rate: 10%)	223 (20)	1,936 (7)	<.0001
Score = 3 (Readmission rate: 12%)	22 (2)	162 (1)	<.0001

P values reflect the unadjusted statistical differences between patients with and without unplanned 30-day readmission as measured by Chi-square and Wilcoxon rank sum analysis. Abbreviations: CNA, certified nursing assistant; IQR, interquartile range; IV, intravenous.

ations, such as health literacy and social determinants, were not systematically measured to be operationally usable.

To generate the score, the clinical documentation of home-health orders categorizes children with home care. Each home-care equipment or service category is documented in separate flowsheet rows, allowing for identification of distinct categories (Table). Total parenteral nutrition, intravenous medications, and durable medical equipment and supplies are counted as home care. The number of discharge medications is approximated by inpatient medication orders and finalized as the number of discharge medication orders. The medications include new, historic, and as-needed medications (if included among discharge medication orders). Home oxygen is not counted as medication. The preferred language of the family caregiver is recorded during patient registration or by the admitting inpatient nurse and is gleaned from either of these flowsheet sources.

The electronic score is displayed within the EHR's Discharge Readiness Report<sup>8</sup> and updates automatically as relevant data are entered. The tool displays the individual components and as a composite of 0-3 points. To register a point in each cate-

gory, a patient needs to exceed (1) the dichotomous discharge medications criterion (ie, ≥6 medications), (2) the dichotomous home-health order criterion (ie, ≥1 home-care order), and (3) to possess documentation of a non-English speaking caregiver. The tool serves as a visual reminder of discharge planning needs during daily coordination rounds attended by clinicians, nursing managers, case managers, and social workers. Case managers use the home-care alert to verify the accuracy of home-care orders.

### Evaluation of Predictive Utility for Readmission

We performed a retrospective cohort study on patients aged 0-21 years who were discharged between January 1, 2014 and December 30, 2015. This study was performed to determine the optimal cut points for the continuous variables (discharge medications and home-care orders) and to evaluate the predictive value of the composite score.

Unplanned readmission within 30 days was used as the primary outcome. The index hospitalization was randomly selected for patients with >1 admissions to avoid biasing the results with multiple hospitalizations from individual patients.

Patient characteristics were summarized using percentages for categorical variables and the median and interquartile range (IQR) for continuous variables. We examined bivariate associations for each of the tool's predictor elements with readmission using Chi-square and Wilcoxon tests (level of statistical significance was set at 0.05). Receiver operating characteristics (ROC) analyses established optimal dichotomization points for medications and home-care orders using the maximized Youden's index (sensitivity + specificity - 1).<sup>9</sup> Dichotomization of these variables was selected for ease of implementation and interpretation. Similarly, the composite score was treated as a categorical predictor and because sensitivity analysis using it as a continuous predictor did not improve the tool's discriminatory properties.

The area under the ROC curve (AUC) was estimated to evaluate the performance of the composite score (as a categorical variable) using a predictive logistic regression model. To establish internal validity, we performed 10-fold cross validation analysis.<sup>10</sup> Measures of predictive performance included the c statistic and the Brier score. The c statistic represents the discriminative ability of a model. A model with AUC > 0.9 signifies high discrimination, 0.7-0.9 indicates moderate discrimination, and 0.5-0.7 suggests low discrimination. The Brier score is a measure of the overall accuracy of the predictions and ranges from 0 to 1, with 0 representing perfect accuracy.<sup>10</sup> Analyses were performed using SAS v9.4 (SAS, Cary, North Carolina).

## RESULTS

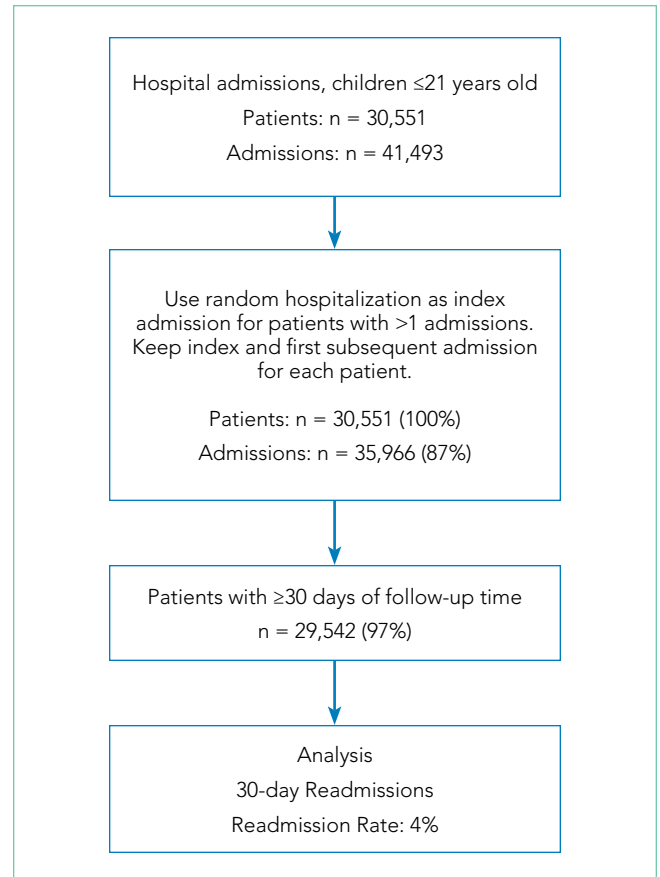
### Cohort Characteristics

Analysis was restricted to patients with at least 30 days of available follow-up time after the index admission (N = 29,542 patients; Figure). Patient characteristics from the index admission are shown in the Table. The median age was five years, and median LOS was two days. A total of 19% of patients were discharged with ≥6 discharge medications, 15% received ≥1 home-health orders, and 10% were documented to have a non-English speaking family caregiver. Almost 28% had a composite score of 1 and 8% a score ≥2. The unplanned 30-day readmission rate was 4%. In bivariate analysis, children with readmission had longer LOS, more discharge medications, and more home care than children without readmission. Caregiver language preference was not associated with readmission.

ROC analysis indicated that dichotomizing number of medications at ≥6 vs <6 and home health at 0 vs ≥1 categories maximized the sensitivity and specificity for predicting 30-day unplanned readmissions. In predictive logistic regression analysis, the odds of readmission was significantly higher in children with a composite score of 1 vs 0 (odds ratio [OR], 1.7; 95% CI 1.5-2) and a score of ≥2 vs 0 (OR, 4.2; 95% CI, 3.6-4.9). The c statistic for this model was 0.62, and the Brier score was 0.037. Internal validation of the predictive logistic regression model yielded identical results.

## DISCUSSION

We describe the development of an electronic decision support tool designed to facilitate hospital discharge. The tool



**FIG.** Consort Diagram of Inclusion and Exclusion Criteria for Patients and Hospitalizations for Cohort

alerts inpatient teams to the presence of potentially complex home cares and language barriers but has low discriminatory performance for unplanned readmissions.

In retrospect, the model's weaker performance is not surprising given the lack of extensive and rigorous statistical analysis, which is customary for predictive model development.<sup>11</sup> This study demonstrates that predictors with ORs indicating a strong relationship (eg, OR > 3.0) may not successfully discriminate between those with and without an outcome of interest.<sup>12</sup> Using a composite score ≥1 to define "high risk" misses intervening on 45% of readmitted children and leads to intervention on almost 10,000 nonreadmitted children; a threshold of ≥2 misses 78% of readmitted children and leads to intervention on more than 2,000 nonreadmitted children. Published pediatric models with superior predictive performance (AUC, 0.71-0.78) would be more suitable for risk assessment.<sup>13-15</sup>

Since implementation, we have not audited frequency of the tool's use or whether care is changed with its use. Such feedback would be a first step in demonstrating its utility in QI. Our organization is undertaking an overhaul of the discharge process to reduce unnecessary discharge delays, with plans to actively incorporate the instrument in workflows. For example, the tool can be used to prompt more timely and complete translation and interpretation, verify home-care order accuracy, and flag appropriate families for early and optimal teaching

in uses of home-care equipment. The medication component can be used to improve safe discharge practices for children with polypharmacy (eg, as a reminder to fill prescriptions and review the accuracy of medication lists prior to discharge). These interventions may generate more impact on discharge efficiency and family reported measures of satisfaction or discharge readiness than readmission outcomes.

Despite its potential benefit in QI, this instrument will need further validation to ensure that it helps target factors that it intends to capture. About 75% of patients were missing home-care values in the original data and were assumed to have not received home health. While there was no missing data for medication number or language, we opted not to assess accuracy of these variables. Therefore, patients may have been misclassified due to clinical documentation omissions or errors.

The instrument's framework is relatively simple and should reduce barriers to implementation elsewhere. However, this tool was developed for one setting, and the design may require adjustment for other environments. Regional or institutional variation in home-health eligibility or clinical documentation may impact home-care and medication scores. The

score may change at discharge if home-health or medication orders are modified late. The tool performs none of the following: measurement of regimen complexity, identification of high-risk medications, distinguishing of new versus preexisting medications/home care, or measurement of health literacy, parent education, or psychosocial risk. Adding these features might enhance the model. Finally, readmission rates did not rise linearly with each added point. A more sophisticated scoring system (eg, differentially weighting each risk factor) may also improve the performance of the tool.

Despite these limitations, we have implemented a real-time electronic tool with practical potential to improve the discharge process but with low utility for distinguishing readmissions. Additional validation and research is needed to evaluate its impact on hospital discharge quality metrics and family reported outcome measures.

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