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The authors reported no potential conflict of interest relevant to this article.

The views expressed in this article are those of the authors and do not necessarily reflect the official policy or position of the Department of the Navy, Uniformed Services University of the Health Sciences, Department of Defense, or the US government.

doi: 10.12788/jfp.0084

Primary prevention of VTE spans a spectrum

High mortality from VTE makes primary prevention appealing. Guidelines and assessment tools offer a variety of patient-specific strategies and agents.

PRACTICE RECOMMENDATIONS

- ▶ Consider the mild reduction in the risk of venous thromboembolism (VTE) provided by statins when contemplating their use for cardiovascular disease prevention. **(B)**
- ▶ Avoid testing for thrombophilia to determine the risk of VTE, except in pregnant patients who meet criteria for antiphospholipid syndrome or have a family history of VTE. **(B)**
- ▶ Recommend an intrauterine device or progestin-only pill for contraception if the patient's risk of VTE is high. **(B)**
- ▶ Stratify hospitalized medical and nonorthopedic surgical patients by risk score to determine the need for VTE prophylaxis. **(B)**

Strength of recommendation (SOR)

- (A)** Good-quality patient-oriented evidence
- (B)** Inconsistent or limited-quality patient-oriented evidence
- (C)** Consensus, usual practice, opinion, disease-oriented evidence, case series

Venous thromboembolism (VTE) is a common and dangerous disease, affecting 0.1%-0.2% of the population annually—a rate that might be underreported.¹ VTE is a collective term for venous blood clots, including (1) deep vein thrombosis (DVT) of peripheral veins and (2) pulmonary embolism, which occurs after a clot travels through the heart and becomes lodged in the pulmonary vasculature. Two-thirds of VTE cases present clinically as DVT²; most mortality from VTE disease is caused by the 20% of cases of pulmonary embolism that present as sudden death.¹

VTE is comparable to myocardial infarction (MI) in incidence and severity. In 2008, 208 of every 100,000 people had an MI, with a 30-day mortality of 16/100,000³; VTE disease has an annual incidence of 161 of every 100,000 people and a 28-day mortality of 18/100,000.⁴ Although the incidence and severity of MI are steadily decreasing, the rate of VTE appears constant.^{3,5} The high mortality of VTE suggests that primary prevention, which we discuss in this article, is valuable (see “Key points: Primary prevention of venous thromboembolism,” page 388).

Risk factors

Virchow's triad of venous stasis, vascular injury, and hypercoagulability describes predisposing factors for VTE.⁶ Although venous valves promote blood flow, they produce isolated low-flow areas adjacent to valves that become concentrated and locally hypoxic, increasing the risk of clotting.⁷ The great majority of DVTs (≥ 96%) occur in the lower extremity,⁸ starting in the calf; there, 75% of cases resolve spontaneously before they extend into the deep veins of the proximal leg.⁷ One-half of DVTs that do move into the proximal leg eventually embolize.⁷

Major risk factors for VTE comprise inherited conditions, medical history, medical therapeutics, and behaviors (TABLE 1).⁹⁻¹¹ Unlike the preventive management of coronary artery disease (CAD), there is no simple, generalized prevention

TABLE 1

Venous thromboembolism risk factors⁹⁻¹¹

Inherited conditions	RR	Intrinsic conditions	RR	Treatments and behavioral variables	RR
Factor V Leiden		History of venous thromboembolism	50	Major surgery or trauma	5-200
• Homozygous	50				
• Heterozygous	5				
Antithrombin III deficiency	25	Age		Estrogen	
		• 50-70 y	5	• Oral contraception	5
		• > 70 y	10	• Tamoxifen	5
				• Hormone replacement therapy	2
Dysfibrinogenemia	18	Antiphospholipid antibodies		Medical hospitalization	5
		• Lupus anticoagulant	10		
		• Anticardiolipin	2		
Protein C or S deficiency	10	Pregnancy	7	Long-haul (> 4 h) travel	3
Hyperhomocysteinemia	3	Cancer	6	Smoking	2-3
Prothrombin mutation	2.5	Obesity	1-3		
		Hypertension	2		
		Diabetes	2		
		Hyperlipidemia	1-2		

RR, relative risk.

algorithm to address VTE risk factors.

■ Risk factors for VTE and CAD overlap. Risk factors for atherosclerosis—obesity, diabetes, smoking, hypertension, hyperlipidemia—also increase the risk of VTE (TABLE 1).⁹⁻¹¹ The association between risk factors for VTE and atherosclerosis is demonstrated by a doubling of the risk of MI and stroke in the year following VTE.¹¹ Lifestyle changes are expected to reduce the risk of VTE, as they do for acute CAD, but studies are lacking to confirm this connection. There is no prospective evidence showing that weight loss or control of diabetes or hypertension reduces the risk of VTE.¹² Smoking cessation does appear to reduce risk: Former smokers have the same VTE risk as never-smokers.¹³

Thrombophilia testing: Not generally useful

Inherited and acquired thrombophilic conditions define a group of disorders in which the risk of VTE is increased. Although thrombophilia testing was once considered for primary and secondary prevention of VTE, such testing is rarely used now because proof of

benefit is lacking: A large case-control study showed that thrombophilia testing did not predict recurrence after a first VTE.¹⁴ Guidelines of the American College of Chest Physicians (ACCP) do not address thrombophilia, and the American Society of Hematology recommends against thrombophilia testing after a provoked VTE.^{15,16}

Primary prophylaxis of patients with a family history of VTE and inherited thrombophilia is controversial. Patients with both a family history of VTE and demonstrated thrombophilia do have double the average incidence of VTE, but this increased risk does not offset the significant bleeding risk associated with anticoagulation.¹⁷ Recommendations for thrombophilia testing are limited to certain situations in pregnancy, discussed in a bit.^{16,18,19}

Primary prevention of VTE in the clinic

There is no single, overarching preventive strategy for VTE in an ambulatory patient (although statins, discussed in a moment, offer

Key points: Primary prevention of venous thromboembolism

- Primary prevention of venous thromboembolism (VTE), a disease with mortality similar to myocardial infarction, should be an important consideration in at-risk patients.
- Although statins reduce the risk of VTE, their use is justified only if they are also required for prevention of cardiovascular disease.
- The risk of travel-related VTE can be reduced by wearing compression stockings.
- The choice of particular methods of contraception and of hormone replacement therapy can reduce VTE risk.
- Because of the risk of bleeding, using anticoagulants for primary prevention of VTE is justified only in certain circumstances.
- Pregnancy is the only condition in which there is a guideline indication for thrombophilia testing, because test results in this setting can change recommendations for preventing VTE.
- Using a risk-stratification model is key to determining risk in both medically and surgically hospitalized patients. Trauma and major orthopedic surgery always place the patient at high risk of VTE.

some benefit, broadly). There are, however, distinct behavioral characteristics and medical circumstances for which opportunities exist to reduce VTE risk—for example, when a person engages in long-distance travel, receives hormonal therapy, is pregnant, or has cancer. In each scenario, recognizing and mitigating risk are important.

Statins offer a (slight) benefit

There is evidence that statins reduce the risk of VTE—slightly²⁰⁻²³:

- A large randomized, controlled trial showed that rosuvastatin, 20 mg/d, reduced the rate of VTE, compared to placebo; however, the 2-year number needed to treat (NNT) was 349.²⁰ The VTE benefit is minimal, however, compared to primary prevention of cardiovascular disease with statins (5-year NNT = 56).²¹ The sole significant adverse event associated with statins was new-onset type 2 diabetes (5-year number needed to harm = 235).²¹
- A subsequent meta-analysis confirmed a small reduction in VTE risk with statins.²² In its 2012 guidelines, ACCP declined to issue a recom-

mendation on the use of statins for VTE prevention.²³ When considering statins for primary cardiovascular disease prevention, take the additional VTE prevention into account.

Simple strategies can help prevent travel-related VTE

Travel is a common inciting factor for VTE. A systematic review showed that VTE risk triples after travel of ≥ 4 hours, increasing by 20% with each additional 2 hours.²⁴ Most VTE occurs in travelers who have other VTE risk factors.²⁵ Based on case-control studies,²³ guidelines recommend these preventive measures:

- frequent calf exercises
- sitting in an aisle seat during air travel
- keeping hydrated.

A Cochrane review showed that graded compression stockings reduce asymptomatic DVT in travelers by a factor of 10, in high- and low-risk patients.²⁶

VTE risk varies with type of hormonal contraception

Most contraceptives increase VTE risk (TABLE 2^{27,28}). Risk with combined oral contraceptives varies with the amount of estrogen and progesterone. To reduce VTE risk with oral contraceptives, patients can use an agent that contains a lower dose of estrogen or one in which levonorgestrel replaces other progestones.²⁷

Studies suggest that the levonorgestrel-releasing intrauterine device and progestin-only pills are not associated with an increase in VTE risk.²⁷ Although the quality of evidence varies, most nonoral hormonal contraceptives have been determined to carry a risk of VTE that is similar to that of combined oral contraceptives.²⁸

In hormone replacement, avoid pills to lower risk

Hormone replacement therapy (HRT) for postmenopausal women increases VTE risk when administered in oral form, with combined estrogen and progestin HRT doubling the risk and estrogen-only formulations having a lower risk.²⁹ VTE risk is highest in the first 6 months of HRT, declining to that of a

TABLE 2

Contraceptive-related risk of venous thromboembolism^{27,28}

Method	RR ^a
Combined oral contraceptives; 30 mg of estrogen plus:	
• drospirenone	4
• desogesterol	3.6
• levonorgestrel	2
Progestin injection	3.6
Transdermal contraceptive patches	2.2
Levonorgestrel-releasing intrauterine device	1 (no increase)
Progestin-only pills	1 (no increase)
Etonogestrel vaginal ring	Limited data ^b
Etonogestrel subcutaneous implant	Not studied

RR, relative risk

^a Compared to no contraception.

^b Similar to that of oral contraceptives.

non-HRT user within 5 years.²⁹ Neither transdermal HRT nor estrogen creams increase the risk of VTE, according to a systematic review.³⁰ The estradiol-containing vaginal ring also does not confer increased risk.²⁹

Pregnancy, thrombophilia, and VTE prevention

VTE affects as many as 0.2% of pregnancies but causes 9% of pregnancy-related deaths.¹⁸ The severity of VTE in pregnancy led the American College of Obstetricians and Gynecologists (ACOG) to recommend primary VTE prophylaxis in patients with certain thrombophilias.¹⁸ Thrombophilia testing is recommended in patients with proven high-risk thrombophilia in a first-degree relative.¹⁸ ACOG recognizes 5 thrombophilias considered to carry a high risk of VTE in pregnancy¹⁸:

- homozygous Factor V Leiden
- homozygous prothrombin G20210A mutation
- antithrombin deficiency
- heterozygous Factor V Leiden and prothrombin G20210A mutation
- antiphospholipid antibody syndrome.

ACOG recommends limiting thrombophilia testing to (1) any specific thrombophilia carried by a relative and (2) possibly, the antiphospholipid antibodies anticardiolipin and lupus anticoagulant.^{18,19} Antiphospho-

lipid testing is recommended when there is a history of stillbirth, 3 early pregnancy losses, or delivery earlier than 34 weeks secondary to preeclampsia.¹⁹

Primary VTE prophylaxis is recommended for pregnant patients with a high-risk thrombophilia; low-molecular-weight heparin (LMWH) is safe and its effects are predictable.¹⁸ Because postpartum risk of VTE is higher than antepartum risk, postpartum prophylaxis is also recommended with lower-risk thrombophilias¹⁸; a vitamin K antagonist or LMWH can be used.¹⁸ ACCP and ACOG recommendations for VTE prophylaxis in pregnancy differ slightly (TABLE 3^{16,18,19}).

Cancer increases risks of VTE and bleeding

Cancer increases VTE risk > 6-fold³¹; metastases, chemotherapy, and radiotherapy further increase risk. Cancer also greatly increases the risk of bleeding: Cancer patients with VTE have an annual major bleeding rate ≥ 20%.³² Guidelines do not recommend primary VTE prophylaxis for cancer, although American Society of Clinical Oncology guidelines discuss consideration of prophylaxis for select, high-risk patients,^{33,34} including those with multiple myeloma, metastatic gastrointestinal cancer, or metastatic brain cancer.^{31,34} Recent evidence (discussed in a moment) supports the use of apixaban for primary VTE prevention during chemotherapy for high-risk cancer.

TABLE 3

Primary prevention of venous thromboembolism in pregnancy^{16,18,19}

Clinical scenario	ACOG recommendations		ACCP recommendations	
	Antepartum management	Postpartum management	Antepartum management	Postpartum Management
Low-risk thrombophilia	Surveillance	<ul style="list-style-type: none"> • Surveillance • Consider prophylactic LMWH^a daily for obesity, immobility, or cesarean delivery 	Surveillance	
Low-risk thrombophilia with family history of VTE	<ul style="list-style-type: none"> • Surveillance • Prophylactic LMWH^a daily <p style="text-align: center;"><i>or</i></p>	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid <p style="text-align: center;"><i>or</i></p>	Surveillance	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid • VKA <p style="text-align: center;"><i>or</i></p>
High-risk thrombophilia ^b	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid <p style="text-align: center;"><i>or</i></p>		Surveillance (for antiphospholipid syndrome, add prophylactic LMWH, ^a daily or bid, plus low-dose aspirin)	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid • VKA <p style="text-align: center;"><i>or</i></p>
High-risk thrombophilia with family history of VTE	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid • Treatment-dose^a LMWH <p style="text-align: center;"><i>or</i></p>		<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid • Add low-dose aspirin for antiphospholipid syndrome <p style="text-align: center;"><i>or</i></p>	<ul style="list-style-type: none"> • Prophylactic LMWH^a daily • Prophylactic LMWH^a bid • VKA <p style="text-align: center;"><i>or</i></p>

ACCP, American College of Chest Physicians; ACOG, American College of Obstetricians and Gynecologists; LMWH, low-molecular-weight heparin; VKA, vitamin K antagonist; VTE, venous thromboembolism.

^a Dosing

- Prophylactic LMWH: Equivalent to enoxaparin 40 mg or dalteparin 5000 mg.
- Treatment-dose LMWH: Weight-based, equivalent to enoxaparin 1 mg/kg every 12 hours or dalteparin 200 U/kg/d.

^b High-risk thrombophilias: homozygous Factor V Leiden, homozygous prothrombin G20210A mutation, antithrombin deficiency, heterozygous Factor V Leiden and prothrombin G20210A mutation, and antiphospholipid syndrome.

The Khorana Risk Score (TABLE 4^{35,36}) for VTE was developed and validated for use in patients with solid cancer³⁵: A score of 2 conveys nearly a 10% risk of VTE over 6 months.³⁶ A recent study of 550 cancer patients with a Khorana score of ≥ 2—the first evidence of risk-guided primary VTE prevention in cancer—showed that primary prophylaxis with 2.5 mg of apixaban, bid, reduced the risk of

VTE (NNT = 17); however, the number needed to harm (for major bleeding) was 59.³⁷ Mortality was not changed with apixaban treatment.³⁷

Primary VTE prevention in med-surg hospitalizations

The risk of VTE increases significantly during hospitalization, although not enough to

➤ There is no prospective evidence that weight loss or control of diabetes or hypertension reduces the risk of VTE; smoking cessation does appear to reduce risk.

TABLE 4

Khorana Risk Score for venous thromboembolism in patients with cancer^{35,36}

Risk factor	Points ^a
Cancer	
• Pancreas	2
• Stomach	2
• Bladder	1
• Gynecologic	1
• Lung	1
• Lymphoma	1
• Testicular	1
• Other	0
Body mass index ≥ 35	1
Hemoglobin < 10 mg/dL (or using red blood cell growth factors)	1
Platelet count $\geq 350 \times 103/\mu\text{L}^b$	1
White blood cell count $> 11 \times 103/\mu\text{L}^b$	1

^aRisk is high when the Khorana Risk Score is ≥ 2 points.³⁶

^bBefore chemotherapy.

Adapted from: Khorana et al. *Blood*. 2008.³⁵

justify universal prophylaxis. Recommended prevention strategies for different classes of hospitalized patients are summarized below.

■ **In medically hospitalized patients**, risk is stratified with a risk-assessment model. Medically hospitalized patients have, on average, a VTE risk of 1.2%²³; 12 risk-assessment models designed to stratify risk were recently compared.³⁸ Two models, the Caprini Score (TABLE 5)³⁹ and the IMPROVE VTE Risk Calculator,⁴⁰ were best able to identify low-risk patients (negative predictive value, $> 99\%$).³⁸ American Society of Hematology guidelines recommend IMPROVE VTE or the Padua Prediction Score for risk stratification.⁴¹ While the Caprini score only designates 11% of eventual VTE cases as low risk, both the IMPROVE VTE and Padua scores miss more than 35% of eventual VTE.³⁸

Because LMWH prophylaxis has been shown to reduce VTE by 40% without increasing the risk of major bleeding, using Caprini should prevent 2 VTEs for every 1000 patients, without an increase in major bleeding and with 13 additional minor bleeding events.⁴²

■ **Critically ill patients** are assumed to be at high risk of VTE and do not require

stratification.²³ For high-risk patients, prophylaxis with LMWH, low-dose unfractionated heparin (LDUH), or fondaparinux is recommended for the duration of admission.²³ For patients at high risk of both VTE and bleeding, mechanical prophylaxis with intermittent pneumatic compression (IPC) is recommended instead of LMWH, LDUH, or fondaparinux.²³

■ **Surgery**, like trauma (see next page), increases the risk of VTE and has been well studied. Prophylaxis after orthopedic surgery differs from that of other types of surgery.

In orthopedic surgery, risk depends on the procedure. For major orthopedic surgery, including total hip or knee arthroplasty and hip fracture surgery, VTE prophylaxis is recommended for 35 days postsurgically.⁴³ LMWH is the preferred agent, although many other means have been shown to be beneficial.⁴⁴ A recent systematic review demonstrated that aspirin is not inferior to other medications after hip or knee arthroplasty.⁴⁵ No mechanical or pharmacotherapeutic prophylaxis is generally recommended after nonmajor orthopedic surgery.⁴³

Nonorthopedic surgery is stratified by risk factors, using Caprini⁴⁴ (TABLE 5³⁹). For

TABLE 5

Caprini Risk Score for nonorthopedic surgery³⁹

Points	1	2	3	5
R I S K F A C T O R S	Age, 41-60 y	Age, 61-74 y	Age, ≥ 75 y	Stroke ≤ 1 mo previously
	Minor surgery	Major open surgery > 45 min	History of VTE	Hip, pelvis, or leg fracture
	Body mass index > 25	Laparoscopic surgery > 45 min	Family history of VTE	Acute spinal cord injury
	Swollen legs	Malignancy	Factor V Leiden	
	Varicose veins	Confined to bed > 72 h	Prothrombin 20210A mutation	
	Pregnant or postpartum	Immobilizing cast	Lupus anticoagulant	
	History of miscarriage	Central venous access	Anticardiolipin antibodies	
	Oral contraception		Heparin-induced thrombocytopenia	
	Hormone replacement therapy		Other thrombophilia	
	Sepsis ≤ 1 mo previously			
	Serious lung disease ≤ 1 mo previously			
	Abnormal pulmonary function			
	History of acute myocardial infarction			
Congestive heart failure				
History of inflammatory bowel disease				
Medical patient on bed rest				

Interpreting the Caprini Risk Score

Score	Risk level	Recommended prophylaxis
0-1	Very low	None
2	Low	IPC
3-4	Moderate	LMWH, LDUH, or IPC
≥ 5	High	LMWH or LDUH, plus IPC

IPC, intermittent pneumatic compression; LDUH, low-dose unfractionated heparin; LMWH, low-molecular-weight heparin; VTE, venous thromboembolism.

Adapted from: Caprini. *Dis Mon*. 2005.³⁹

medium-risk patients (Caprini score, 3-4) LDUH, LMWH, or IPC is recommended; for high-risk patients (Caprini score, ≥ 5) preventive treatment should combine pharmacotherapeutic and mechanical prophylaxis.⁴⁶ A recent meta-analysis, comprising 14,776 patients, showed that surgical patients with a Caprini score ≥ 7 had a reduced incidence of VTE when given chemoprophylaxis, whereas patients whose score is < 7 do not benefit from chemoprophylaxis.⁴³ When bleeding risk is high, IPC is recommended as sole therapy.⁴³ Prophylaxis is not recommended when risk (determined by the Caprini score) is low.⁴⁶

■ Post-hospitalization. Risk of VTE can persist for as long as 90 days after hospitalization; this finding has led to evaluation of the benefit of prolonged chemoprophylaxis.²³ Extended-duration LMWH prophylaxis decreases the incidence of VTE, but at the cost of increased risk of major bleeding.⁴⁷ Based on this evidence, guidelines recommend against prolonged-duration anticoagulation.²³ A 2016 trial showed that 35 days of the direct-acting anticoagulant betrixaban reduced the risk of symptomatic VTE events, compared to 10 days of LMWH (NNT = 167), without increased risk of bleeding.⁴⁸ This is a

limited benefit, however, that is unlikely to change guideline recommendations.

Trauma: VTE risk increases with severity

Trauma increases the risk of VTE considerably. A national study showed that 1.5% of admitted trauma patients experienced VTE during hospitalization and that 1.2% were readmitted for VTE within 1 year.⁴⁹ As many as 32% of trauma patients admitted to the intensive care unit experience VTE despite appropriate prophylaxis.⁵⁰ A Cochrane Review⁵¹ found that:

- prophylaxis significantly reduces DVT risk
- pharmacotherapeutic prophylaxis is more effective than mechanical prophylaxis
- LMWH is more effective than LDUH.

Guidelines recommend that major trauma patients receive prophylaxis with LMWH, LDUH, or IPC.⁴⁶

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Taking a statin can reduce the risk of VTE—slightly.

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