Psychosocial Risk Assessment in Clinical Medicine

Gabriel Smilkstein, MD Tochigi Prefecture, Japan

Whether a positive or negative mental attitude can influence an individual's health has been a vexing question to physicians throughout history. Although recent studies suggest that psychosocial factors influence the natural history of many disease states and influence a wide range of physiological responses, the mechanism(s) by which the psychosocial factors contribute to the health outcome of patients with disease is largely speculative.¹

Physicians should recognize, however, that while neuroendocrinological and immune system mechanisms are still being explored, knowledge already elaborated through psychosocial research deserves clinical application. At the present time, this research is strongest in demonstrating the negative effect of psychosocial risk in patients with such problems as diabetes, ^{2,3} myocardial infarction, ^{4,5} asthma, ⁶ and infection, ^{1,7} and in the health outcome of mothers and infants in pregnancy. ⁸⁻¹¹

In this issue of *The Journal of Family Practice*, Ramsey, Abell, and Baker report on a well-executed study that examines the effects of both biomedical and psychosocial risk on pregnancy outcome (low birth weight). Psychosocial risk, manifested primarily through the stress of life-change events and poor social support (family functioning and structure), accounted for a significant proportion of the total variance (16.5 percent). This finding suggests that psychosocial risk is a major contribution to the determining factors that act on the gravid woman to produce low birth weight in the newborn infant; that is, psychosocial risk does not cause low birth weight, but it plays a part in the orchestration of physiological events that lead to low birth weight.

Other investigators have also shown significant correlation between psychosocial risk (high stress and low social support) and maternal and infant outcomes at delivery such as prolonged labor, ^{12,13} prematurity, ¹⁴ low pediatric Apgar scores, ¹⁵ maternal infection and hemorrhage, ¹⁶ and postpartum complications. ¹¹

After reviewing papers on psychosocial risk in pregnancy, the physician is likely to ask, "How can I use this information in routine patient care?" Research, such as that reported by Ramsey, Abell, and Baker, suggests two responses: first, psychosocial risk assessment is feasible in an office practice; and, second, many psychosocial problems identified from this assessment are amenable to intervention.

In the past obstetrical risking instruments limited psychosocial risk assessment to such problems as race and socioeconomic and marital status-problems with little or no option for intervention. When life-change events and family functioning are made the focus of assessment, however, problem areas are identified that lend themselves to therapeutics appropriate for a practice setting. Encouragement has come from Sosa et al, 13 who have shown that practical interventions may be available. In their study the subjects in the test group were given a supportive companion when they came to the hospital in labor. The study revealed that the control group (who went through labor without a companion) had longer labor, had more cesarean sections, and demonstrated poorer bonding than the test group. This research offers an example of how psychosocial support, in this case the presence of a supportive companion during labor, may result in biomedical benefits.

It should also be noted that the techniques necessary to identify psychosocial risks are readily available through the medical interview or patient-completed questionnaire. Brief utilitarian instruments that explore life-event changes and family functioning have been developed by Sarason et al, ¹⁷ Olson et al, ¹⁸ Brandt and Weinert, ¹⁹ Smilkstein et al, ²⁰ and Norbeck and Tilden, ²¹

The translation or transfer of research to the practic-

From the Department of Community and Family Medicine, Jichi Medical School, Tochigi Prefecture, Japan. Requests for reprints should be addressed to Dr. Gabriel Smilkstein, Department of Family Medicine RF-30, School of Medicine, University of Washington, Seattle, WA 98195.

PSYCHOSOCIAL RISK ASSESSMENT

ing physician requires not only that the investigators demonstrate significant findings, but that the application of the knowledge be facilitated through the use of practice-oriented schemes. Ramsey, Abell, and Baker have shown how the biopsychosocial model can be applied in research. Furthermore, their suggestions for practice application seem appropriate. It is hoped that family medicine research will continue to participate in the discoveries that are still to be made in assessing the role of psychosocial risk in clinical medicine for all health problems.

References

- Plaut SM, Friedman SB: Infectious disease. In Ader R (ed): Psychoneuroimmunology. New York, Academic Press, 1981, p 6
- Minuchin S: Psychosomatic Families. Cambridge, Mass, Harvard University Press, 1978
- Minuchin S, Baker L, Rosman BL, et al: A conceptual model of psychosomatic illness in children. Arch Gen Psychiatry 1975; 32:1031-1038
- Medalie JA, Goldbourt U: Angina pectoris among 10,000 men: II. Psychosocial and other risk factors as evidenced by a multivariate analysis of a five-year incidence study. Am J Med 1976; 60:910-921
- Ostfeld AM, Eaker ED (eds): Measuring Psychosocial Variables in Epidemiologic Studies of Cardiovascular Disease, Proceedings of a workshop. National Heart, Lung, and Blood Institute, DHHS publication No. (NIH) 85-2270. Government Printing Office, 1985
- DeAranjo G, Dudley DL, VanArsdale PP: Psychosocial assets and severity of chronic asthma. J Allergy Clin Immunol 1972; 50:157-263
- Haggerty RJ, Alpert JJ: The child, his family and illness. Postgrad Med 1963; 34:228-233
- McDonald RL: The role of emotional factors in obstetric complications: A review. Psychosom Med 1968; 30:222-237

- Nuckolls CH, Cassel J, Kaplan B: Psychosocial assets, life crises, and prognosis of pregnancy. Am J Epidemiol 1972; 95:431-441
- Gorsuch RL, Key MK: Abnormalities of pregnancy as a function of anxiety and life stress. Psychosom Med 1974; 36:352-362
- Smilkstein G, Helsper-Lucas A, Ashworth C, et al: Prediction of pregnancy complications: An application of the biopsychosocial model. Soc Sci Med 1984; 18:315-321
- Kapp FT, Hornstein S, Graham VT: Some psychological factors in prolonged labor due to inefficient uterine action. Compr Psychiatry 1965; 4:9-13
- Sosa R, Kennell J, Klaus M, et al: The effect of a supportive companion on perinatal problems, length of labor, and mother-infant interaction. N Engl J Med 1980; 33:597-600
- 14. Schwartz JL: A study of relationship between maternal lifechange events and premature delivery. In Schwartz JL, Schwartz LH (eds): Vulnerable Infants: A Psychological Dilemma. New York, McGraw-Hill, 1977
- Smilkstein G, Pagel M: A biopsychosocial study of obstetrical risk and maternal and infant outcome. Family Health Foundation Research Grant Report. Seattle, University of Washington, Department of Family Medicine, 1985
- Laukaran VH, vandenBerg BJ: The relationship of maternal attitude to pregnancy outcomes and obstetric complications. Am J Obstet Gynecol 1980; 136:374-379
- Sarason IG, Johnson HG, Siegel HM: Assessing the impact of life changes: Development of the life experience survey. J Consult Clin Psychol 1978; 46:932-946
- Olson DH, Sprenkle DH, Russell CS: Circumplex model of marital and family systems I: Cohesion and adaptability dimensions, family types, and clinical applications. Fam Process 1979; 18:3-28
- Brandt PA, Weinert C: The PRQ-A social support measure. Nursing Res 1981; 30:277-280
- Smilkstein G, Ashworth C, Montano D: Validity and reliability to the Family APGAR as a test of family function. J Fam Pract 1982; 15:303-311
- Norbeck JS, Tilden VP: Life stress, social support, and emotional disequilibrium in complications of pregnancy: A prospective, multivariate study. J Health Soc Behav 1983: 24:30-46